

# QCD Phase Structure and Compact Objects

Bernd-Jochen Schaefer



Bundesministerium  
für Bildung  
und Forschung

Germany



Germany

October 11<sup>th</sup>, 2019



Germany

40th Max Born Symposium – Three Days on Strong Correlations  
in Dense Matter

9-12 October 2019  
University of Wrocław

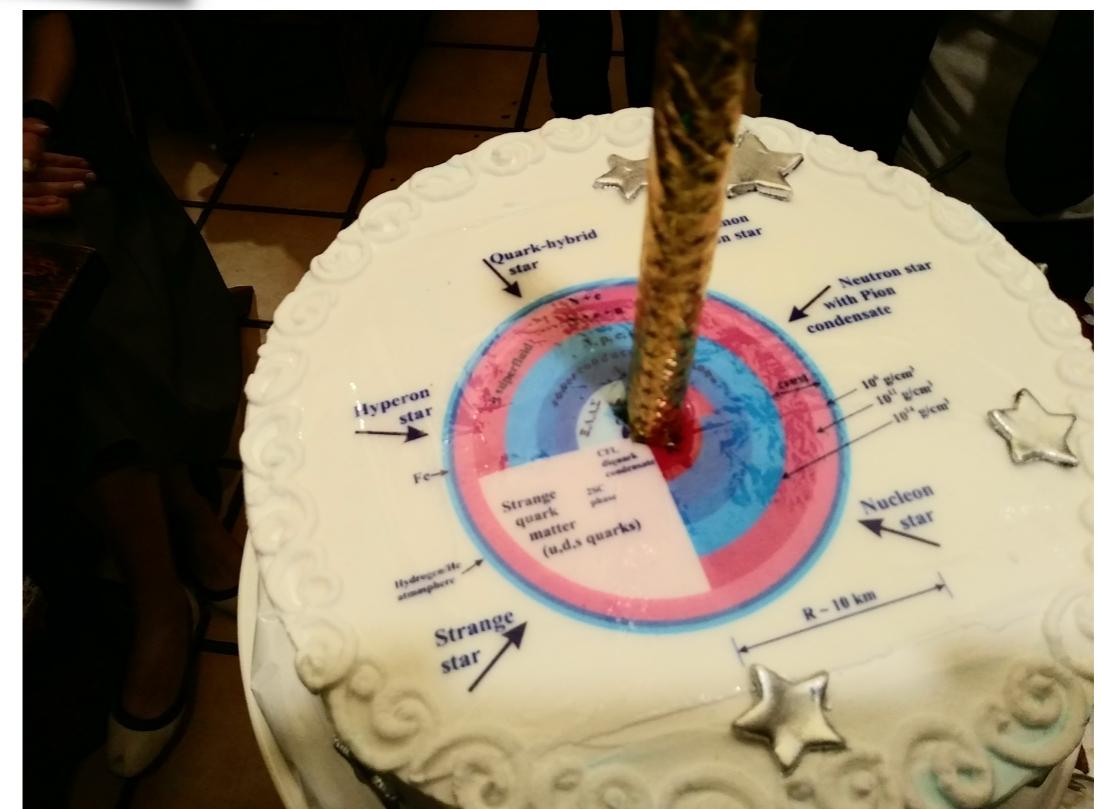


**Yerevan, 22.9.2019**

*Thank you, David, for an unforgettable birthday*



**Yerevan, 22.9.2019**



# QCD Phase Structure and Compact Objects

Bernd-Jochen Schaefer



Bundesministerium  
für Bildung  
und Forschung

Germany



Germany

October 11<sup>th</sup>, 2019

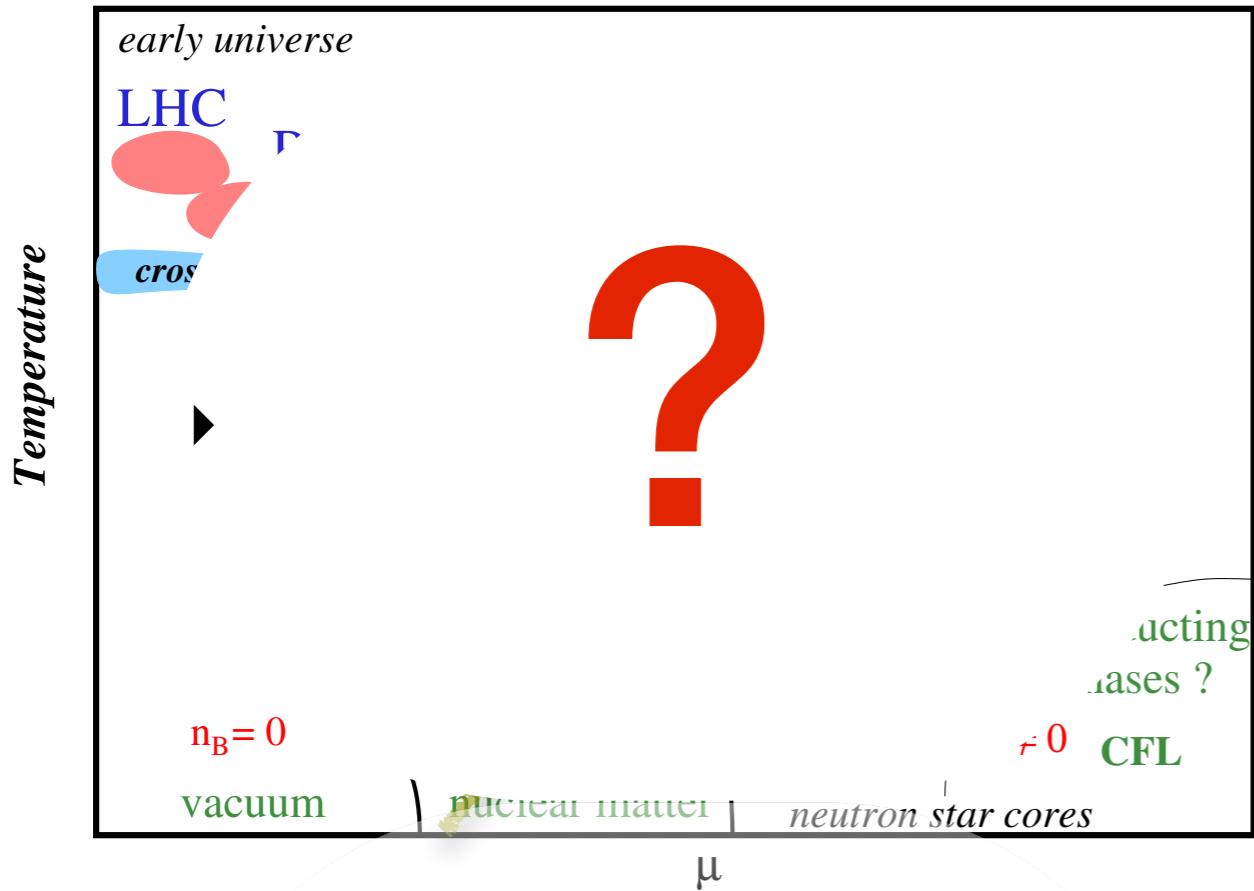


Germany

40th Max Born Symposium – Three Days on Strong Correlations  
in Dense Matter

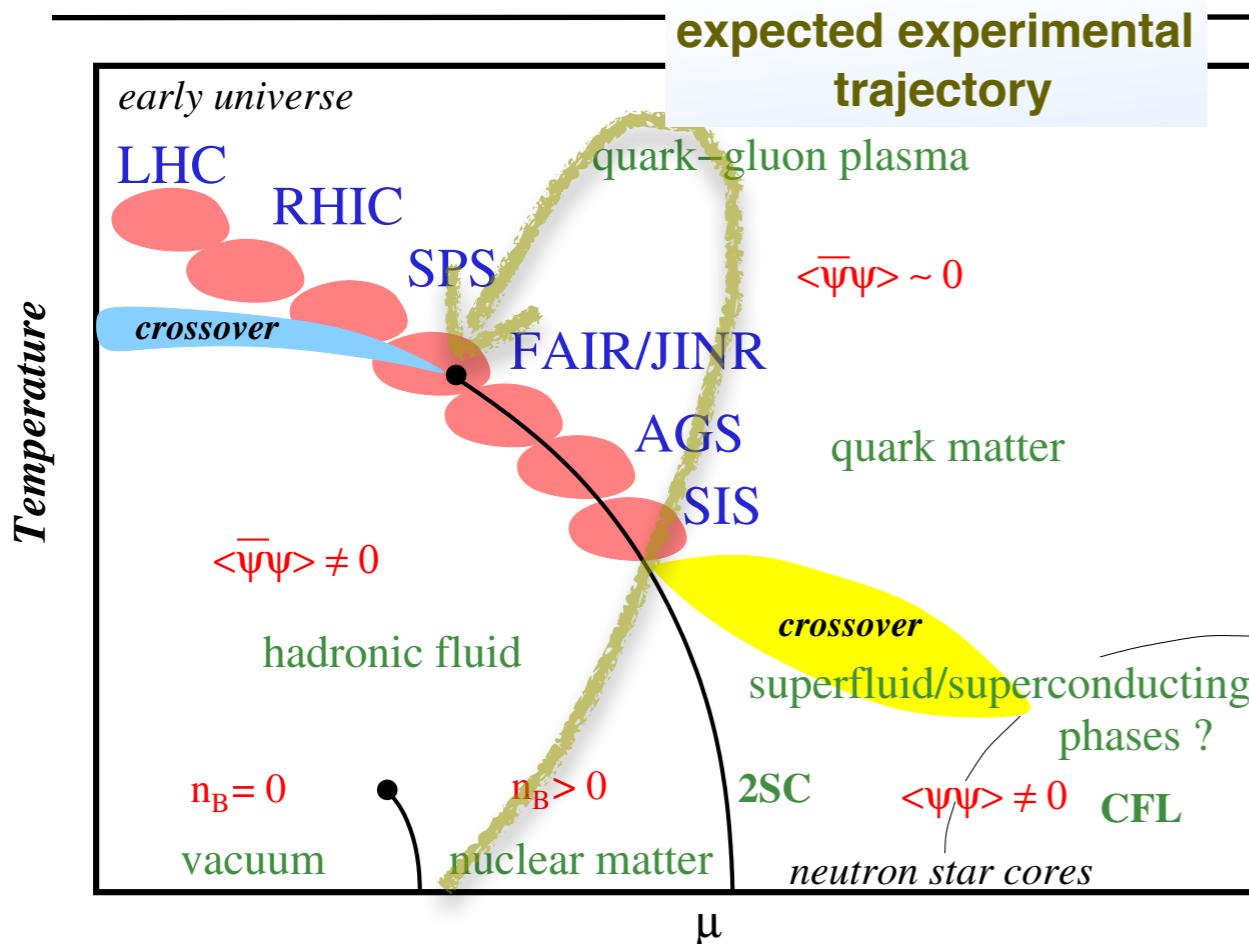
9-12 October 2019  
University of Wrocław

# QC<sub>3</sub>D phase structure



vacuum  $\leftrightarrow$  nuclear matter/transition &  
only corners of the QCD phase diagram  
are known from  
“first principles” QCD

# conjectured QC<sub>3</sub>D phase structure



knowledge so far

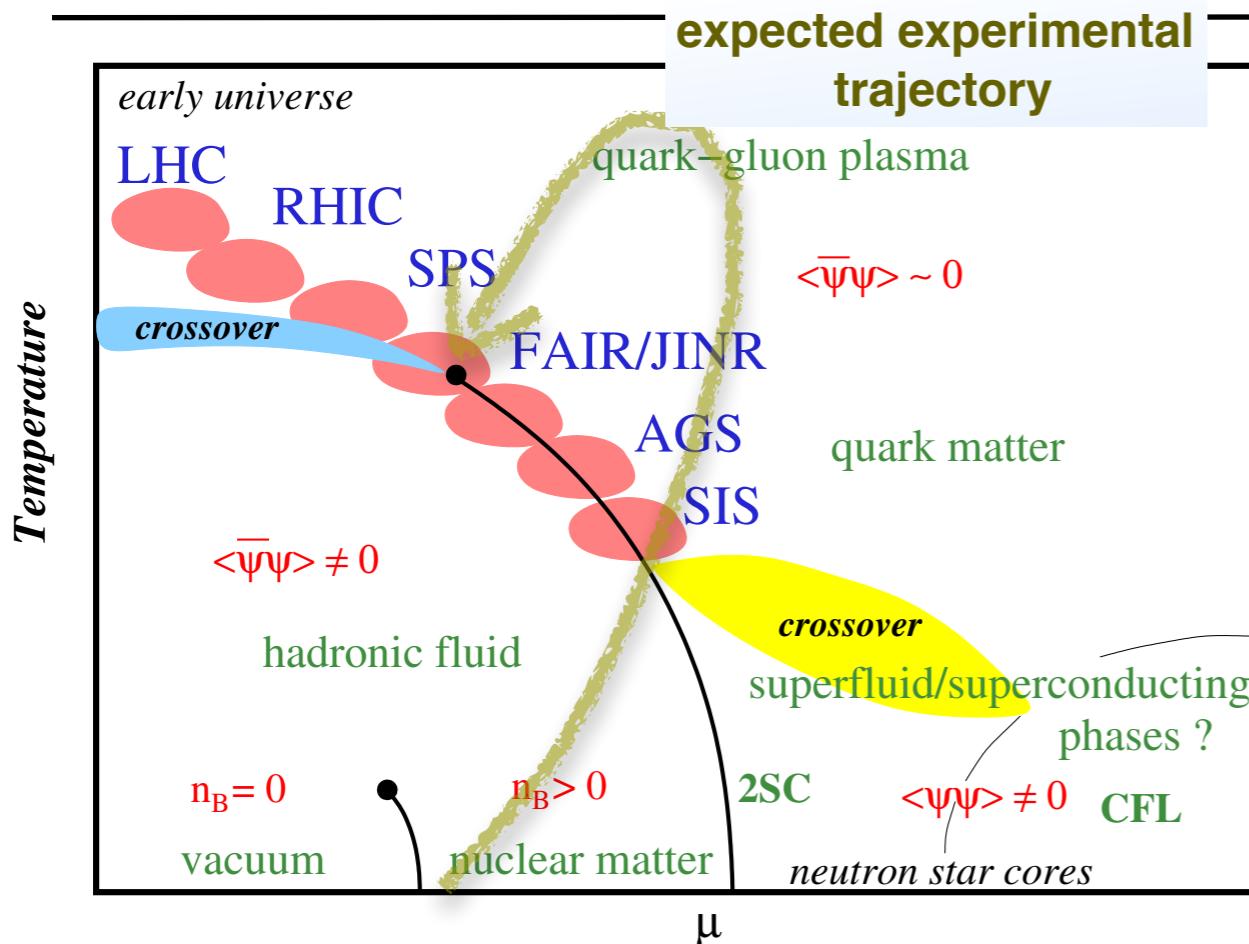
mostly based on model calculations

assumptions:

equilibrium, homogeneous phases,

infinite volume, ....

# conjectured QC<sub>3</sub>D phase structure



## Open issues

- **CEP**: existence/location/number
- relation between chiral & deconfinement?
- chiral  $\Leftrightarrow$  deconfinement CEP?**
- **Quarkyonic phase**: coincidence of both transitions  
at  $\mu=0$  &  $\mu>0$ ?
- **inhomogeneous phases?**  $\rightarrow$  more favored?
- **axial anomaly restoration** around chiral transition?
- **finite volume effects?**  $\rightarrow$  lattice comparison/  
influence boundary conditions
- **role of fluctuations?** so far mostly Mean-Field results  
**→ effects of fluctuations important**  
examples: size of crit reg. around CEP
- **What are good experimental signatures?**  
**→ cumulants?**

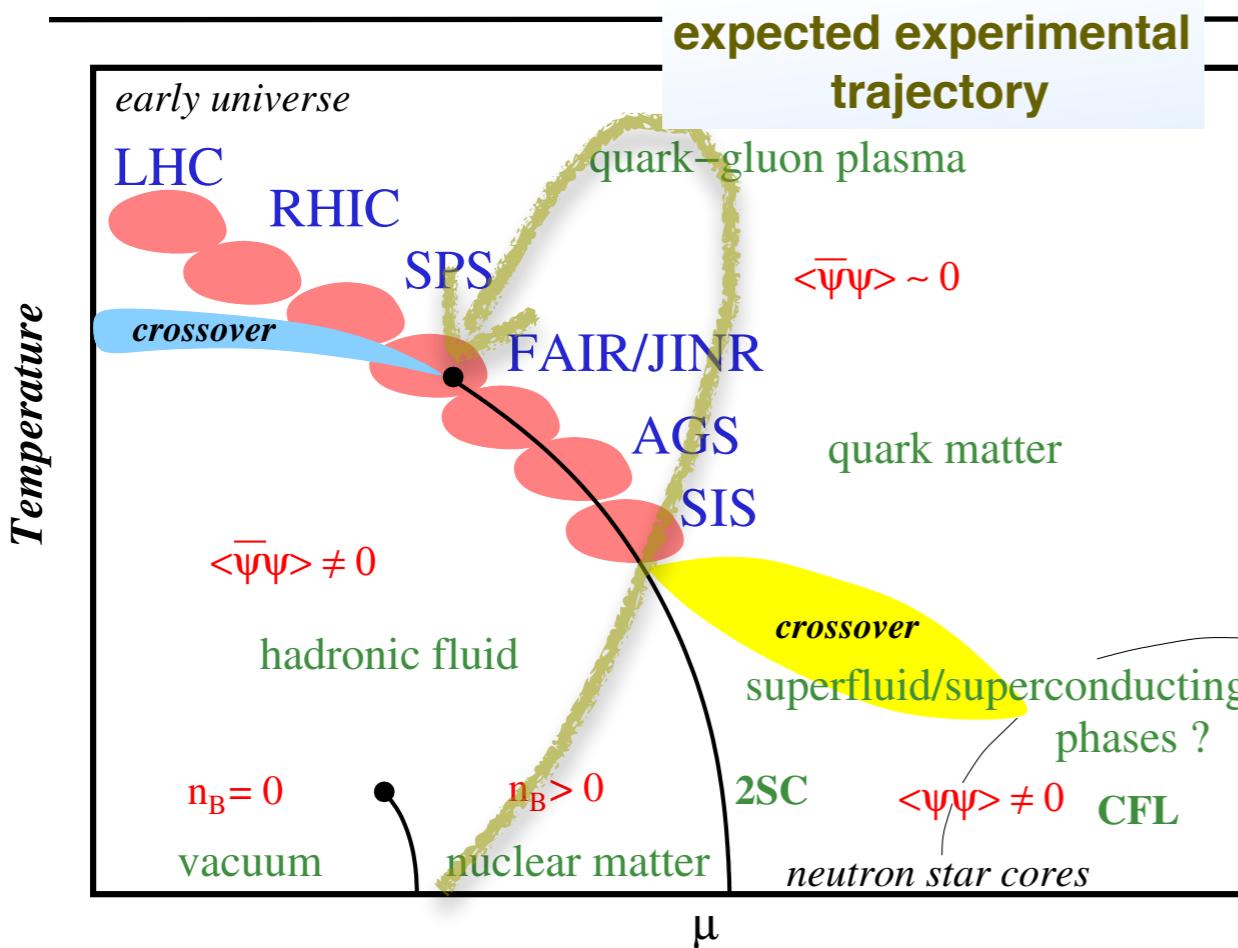
knowledge so far

mostly based on model calculations

assumptions:

equilibrium, homogeneous phases,  
infinite volume, ....

# conjectured QC<sub>3</sub>D phase structure



Theory:

- Lattice: but simulations restricted to small  $\mu$
- Models: effective theories

parameter dependency

→ Functional QFT approaches:  
FRG, DSE, nPI, Variational Methods

knowledge so far

mostly based on model calculations

assumptions:

equilibrium, homogeneous phases,  
infinite volume, ....

Theoretical aim:

deeper understanding & more realistic HIC description  
→ solution of QCD for all densities

# conjectured QC<sub>3</sub>D phase structure

our method of choice

## Functional Renormalization Group (FRG)

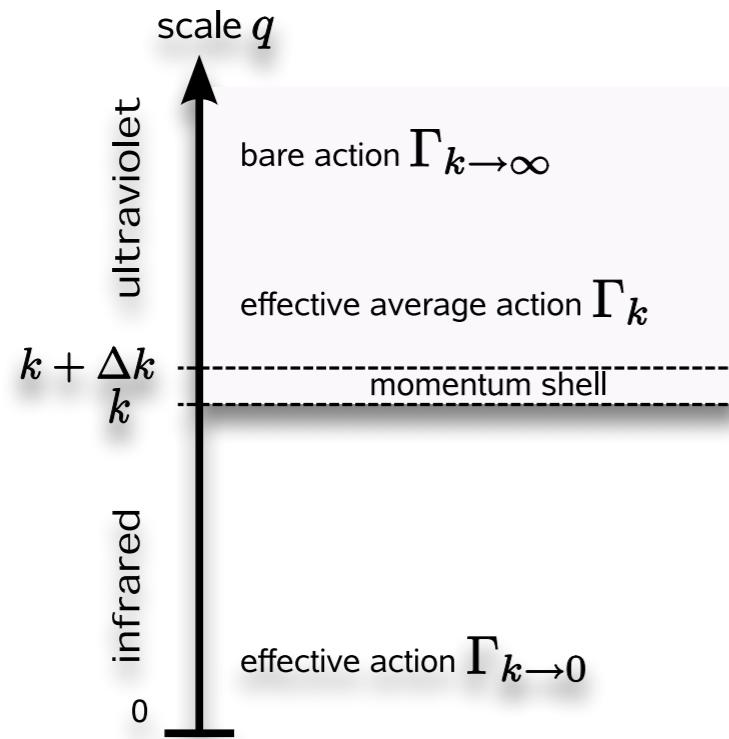
# Functional Renormalization Group

■  $\Gamma_k[\phi]$  scale dependent effective action

$$t = \ln(k/\Lambda)$$

$R_k$  regulators

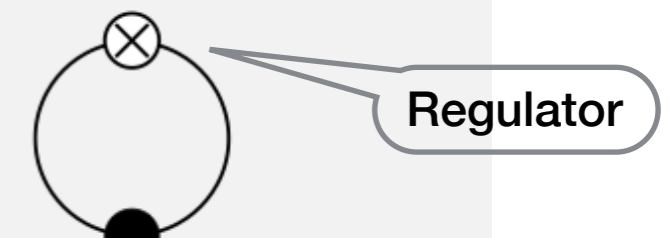
$$\Gamma_k^{(2)} = \frac{\delta^2 \Gamma_k}{\delta \phi \delta \phi}$$



**FRG (average effective action)**

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \partial_t R_k \left( \frac{1}{\Gamma_k^{(2)} + R_k} \right)$$

$$k \partial_k \Gamma_k[\phi] \sim \frac{1}{2}$$



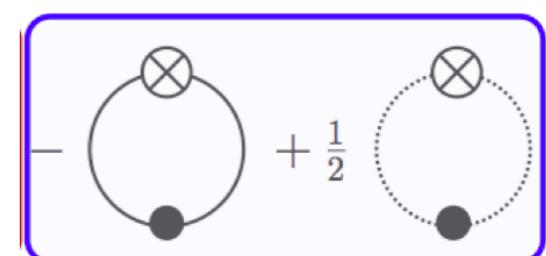
[Wetterich 1993]

■ Ansatz for  $\Gamma_k$ : **Example: Quark-Meson truncation in leading order derivative expansion**

$$\Gamma_k = \int d^4x \bar{q}[i\gamma_\mu \partial^\mu - g(\sigma + i\vec{\tau}\vec{\pi}\gamma_5)]q + \frac{1}{2}(\partial_\mu \sigma)^2 + \frac{1}{2}(\partial_\mu \vec{\pi})^2 + V_k(\phi^2)$$

$$V_{k=\Lambda}(\phi^2) = \frac{\lambda}{4}(\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

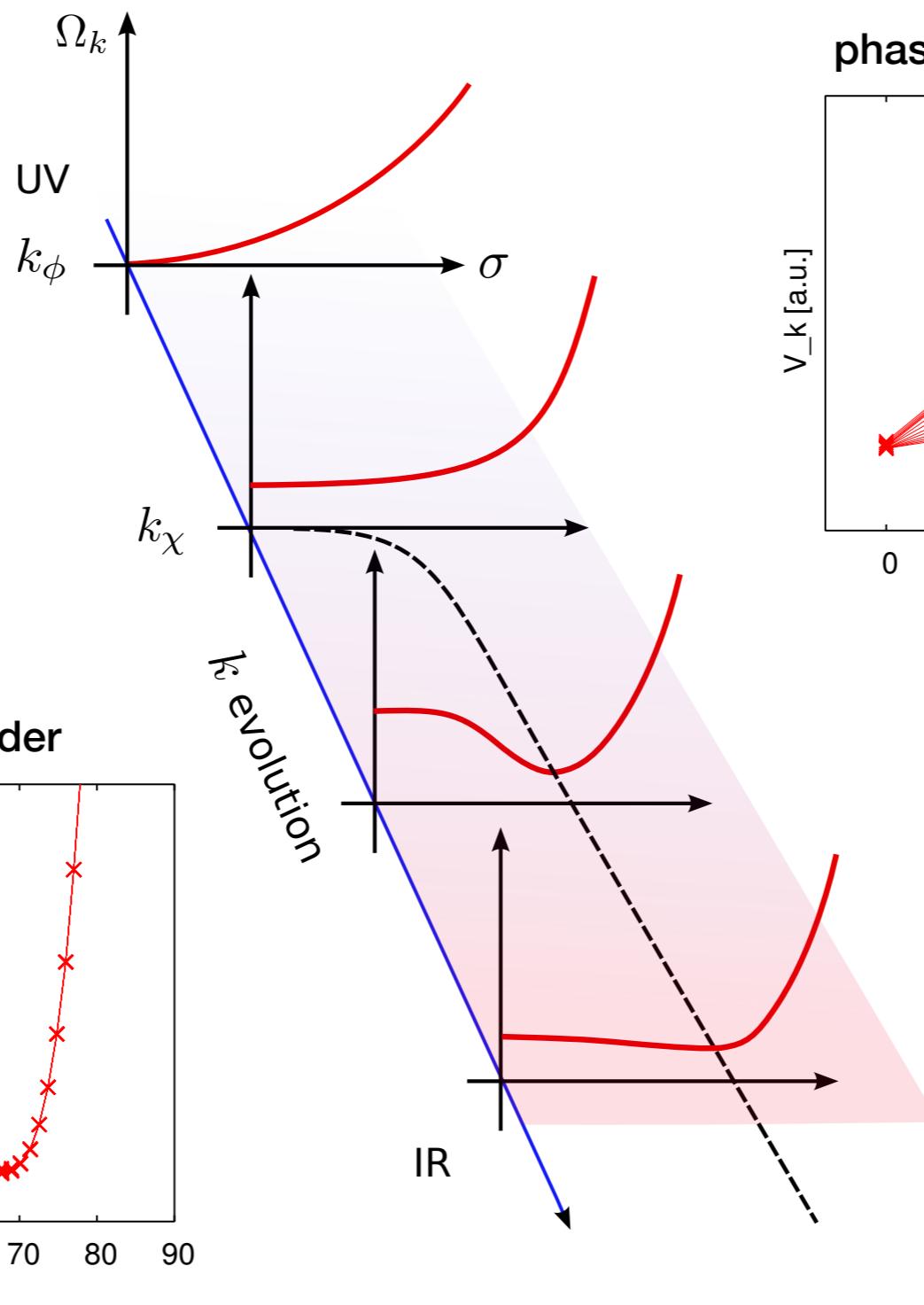
arbitrary potential



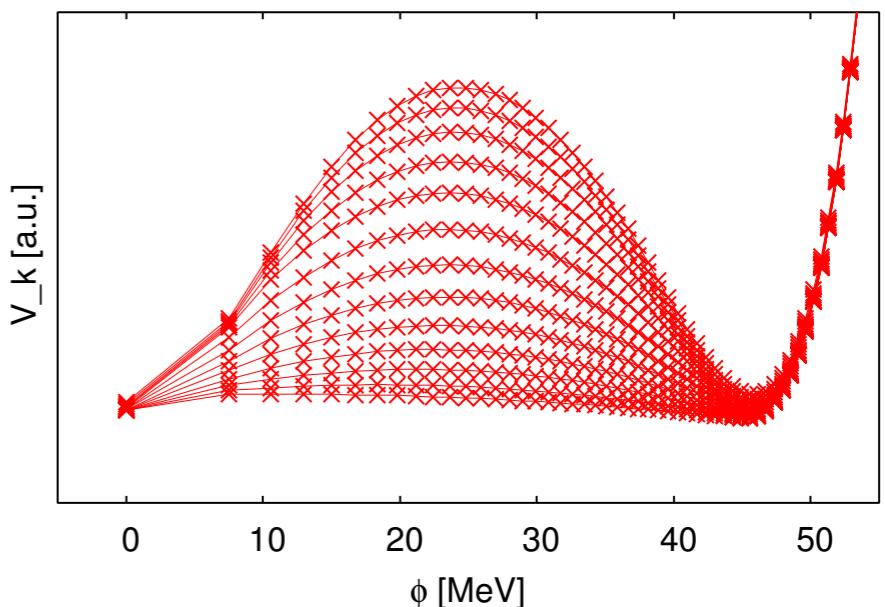
# RG scale evolution

Input: potential at high scales

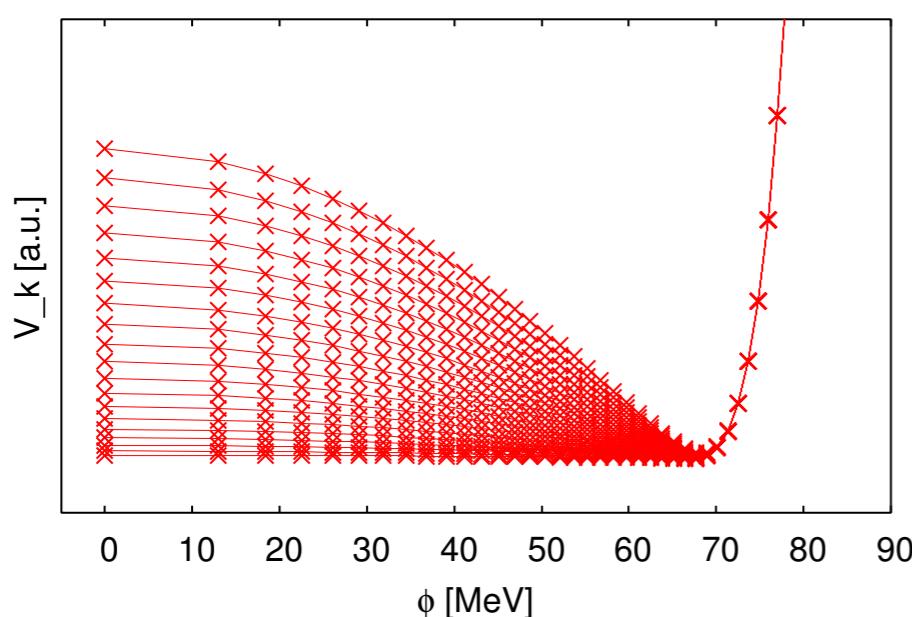
e.g. Meson potential or  
QCD ...



phase transition: First order



phase transition: Second Order

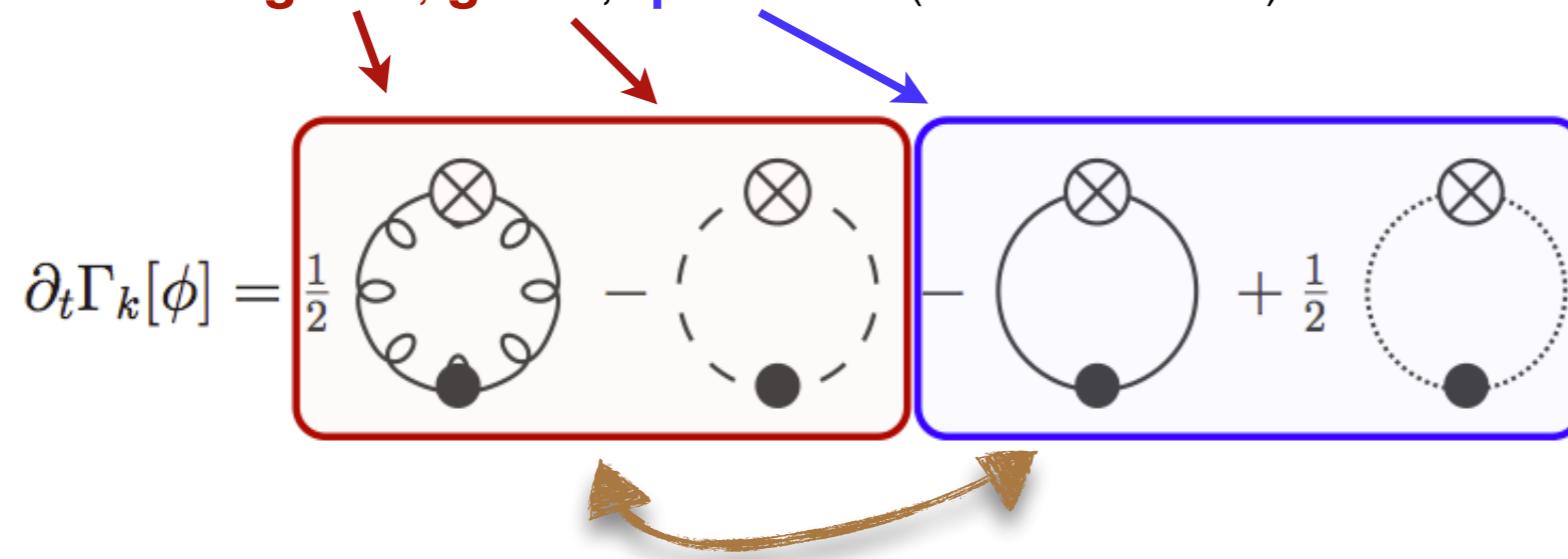


# FRG and QCD

## ■ full dynamical QCD FRG flow:

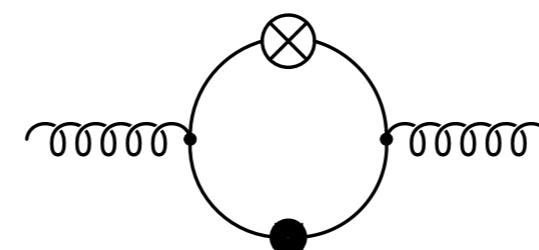
[fQCD: Pawłowski, Rennecke et al. since 2009]

fluctuations of **gluon**, **ghost**, **quark** and (via hadronization) **meson**



in presence of **dynamical quarks**:

**gluon propagator** is modified



**pure Yang Mills flow + matter back-coupling**

# FRG: quark-meson truncation

chiral phase transition:

flow for **quark-meson** model truncation:

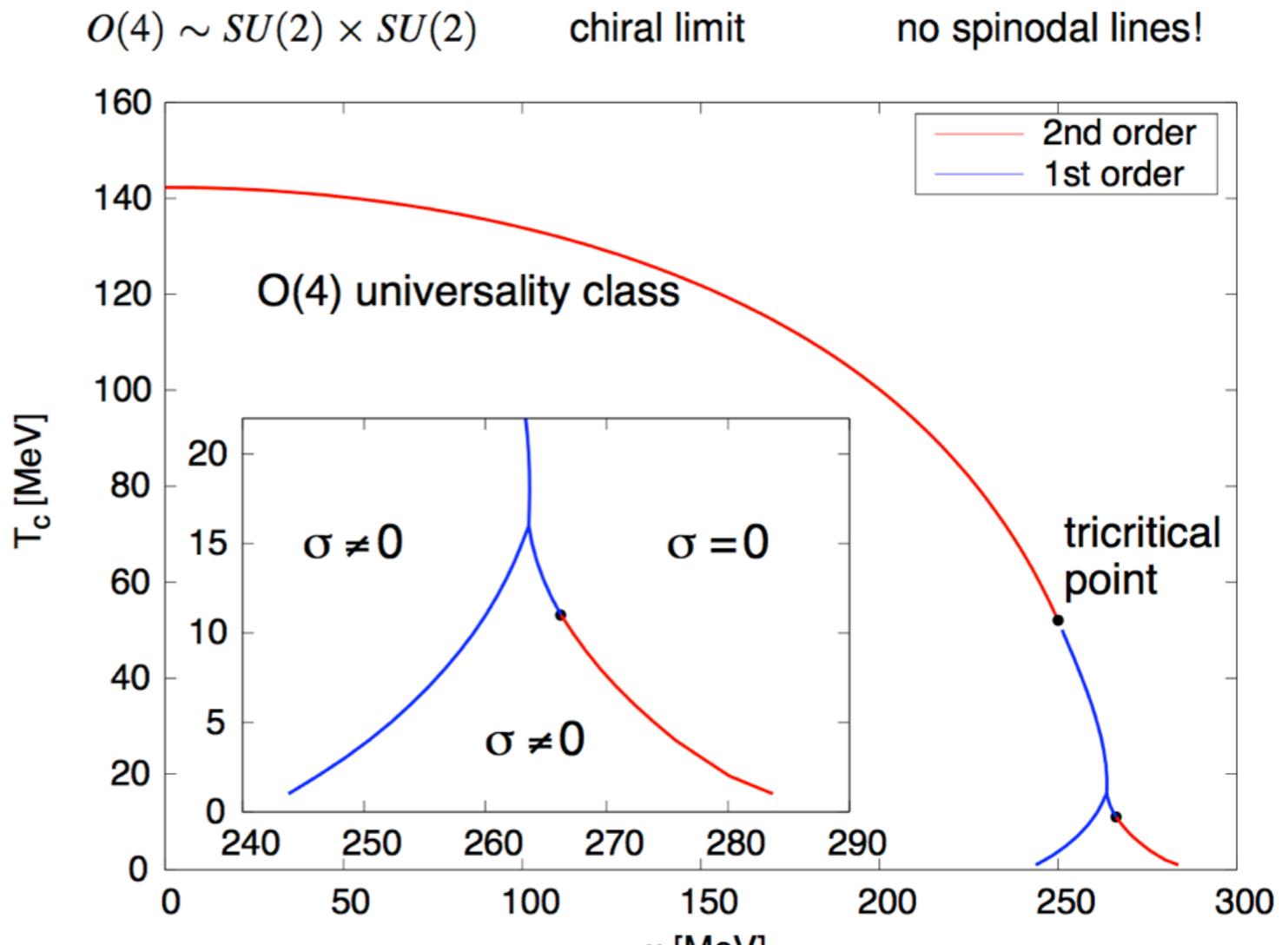
neglect

**YM contributions and bosonic fluctuations**

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \text{ (crossed-out diagram)} + \frac{1}{2} \text{ (diagram with YM contributions and bosonic fluctuations)}$$

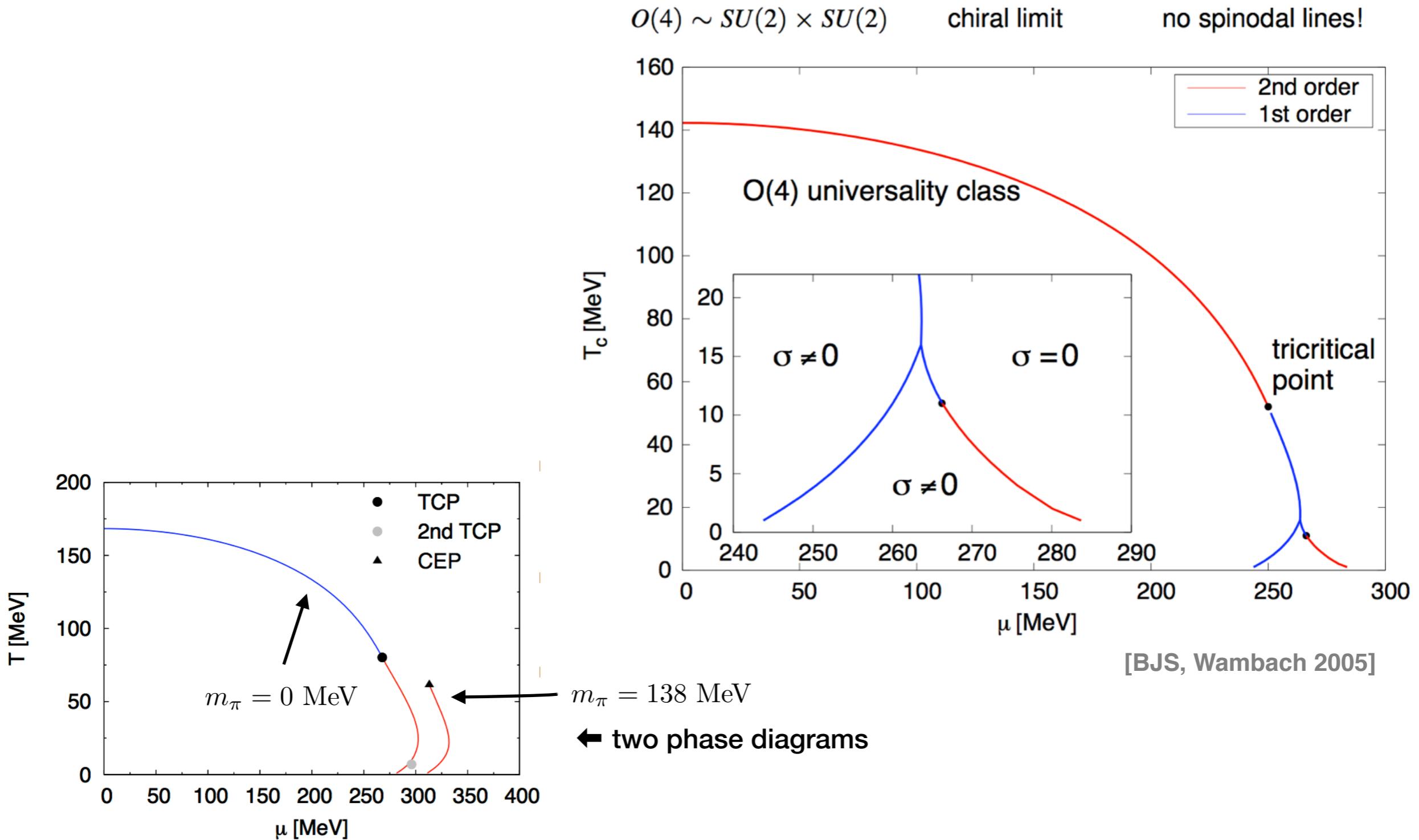
without bosonic fluctuations:  
extended Mean-field approximation

# Phase diagram $N_f=2$

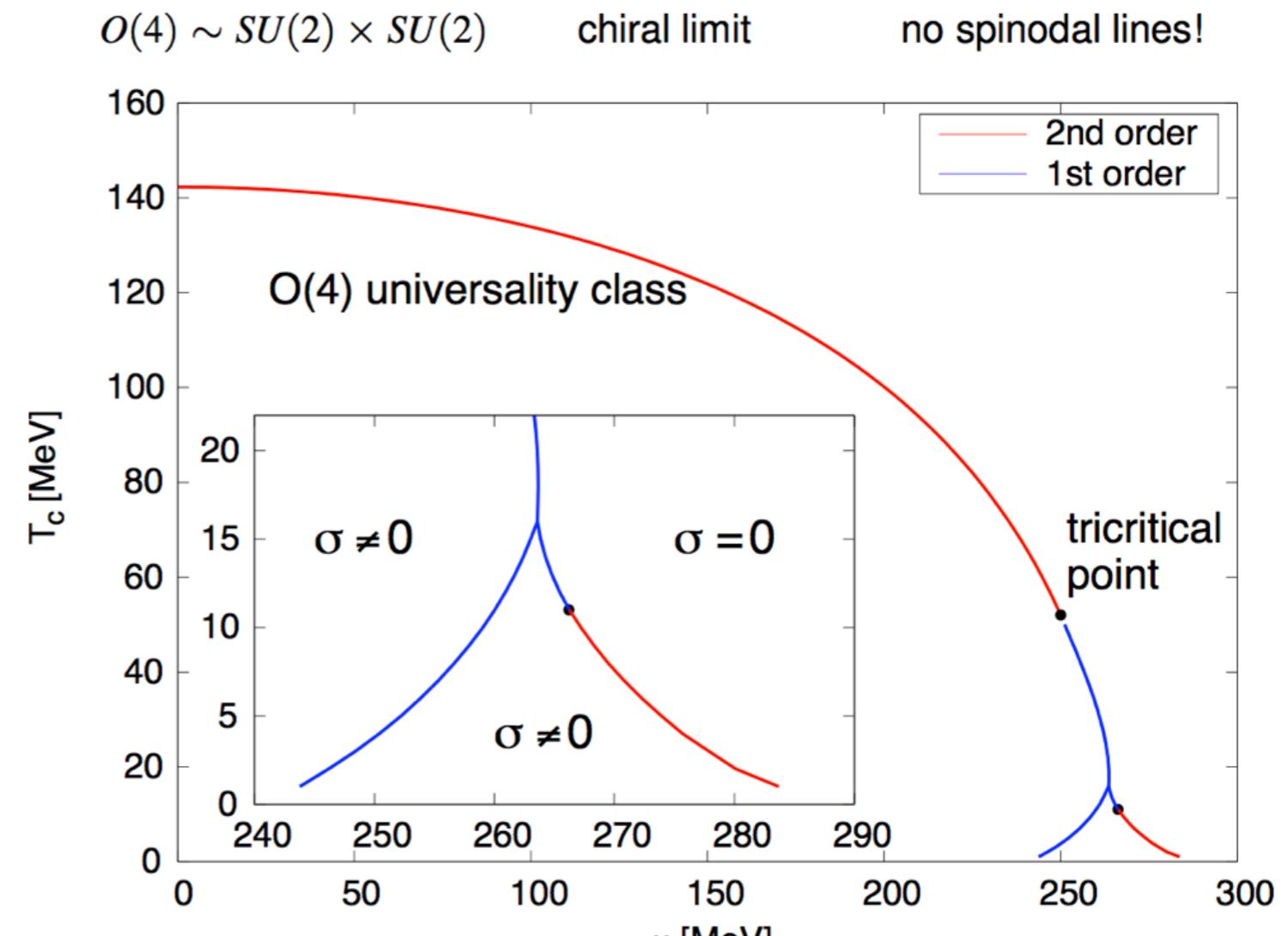
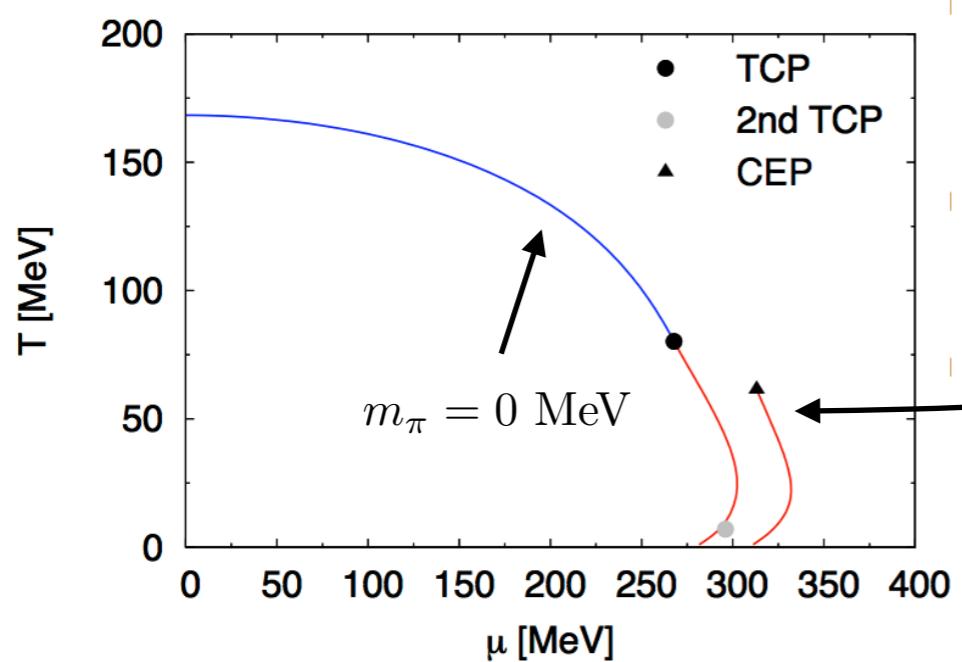
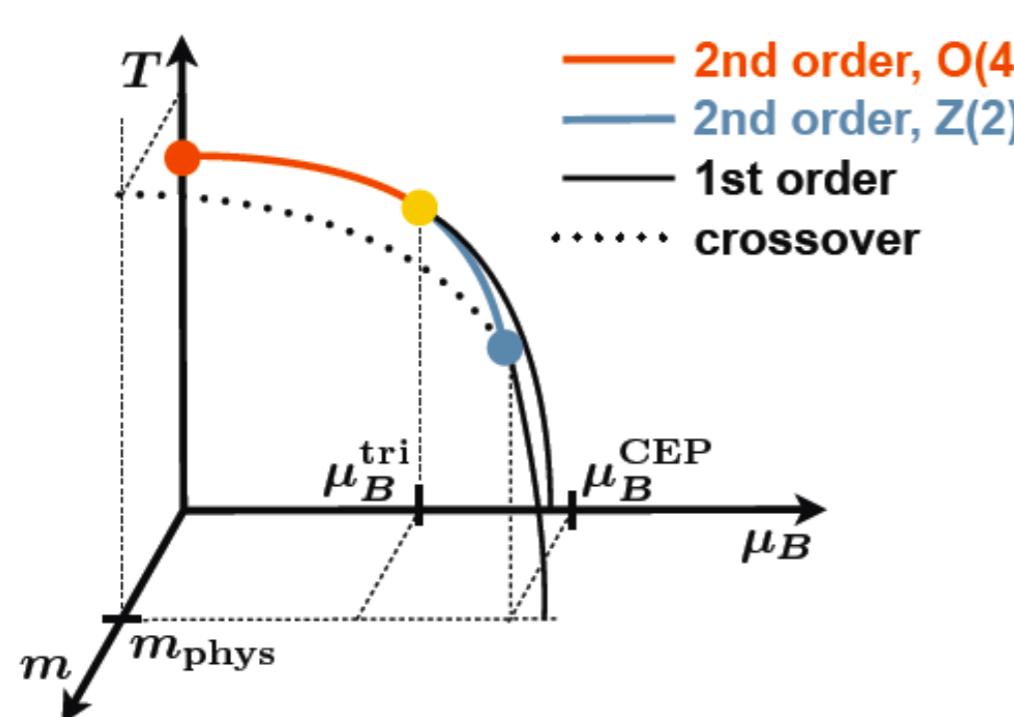


[BJS, Wambach 2005]

# Phase diagram $N_f=2$



# Phase diagram $N_f=2$



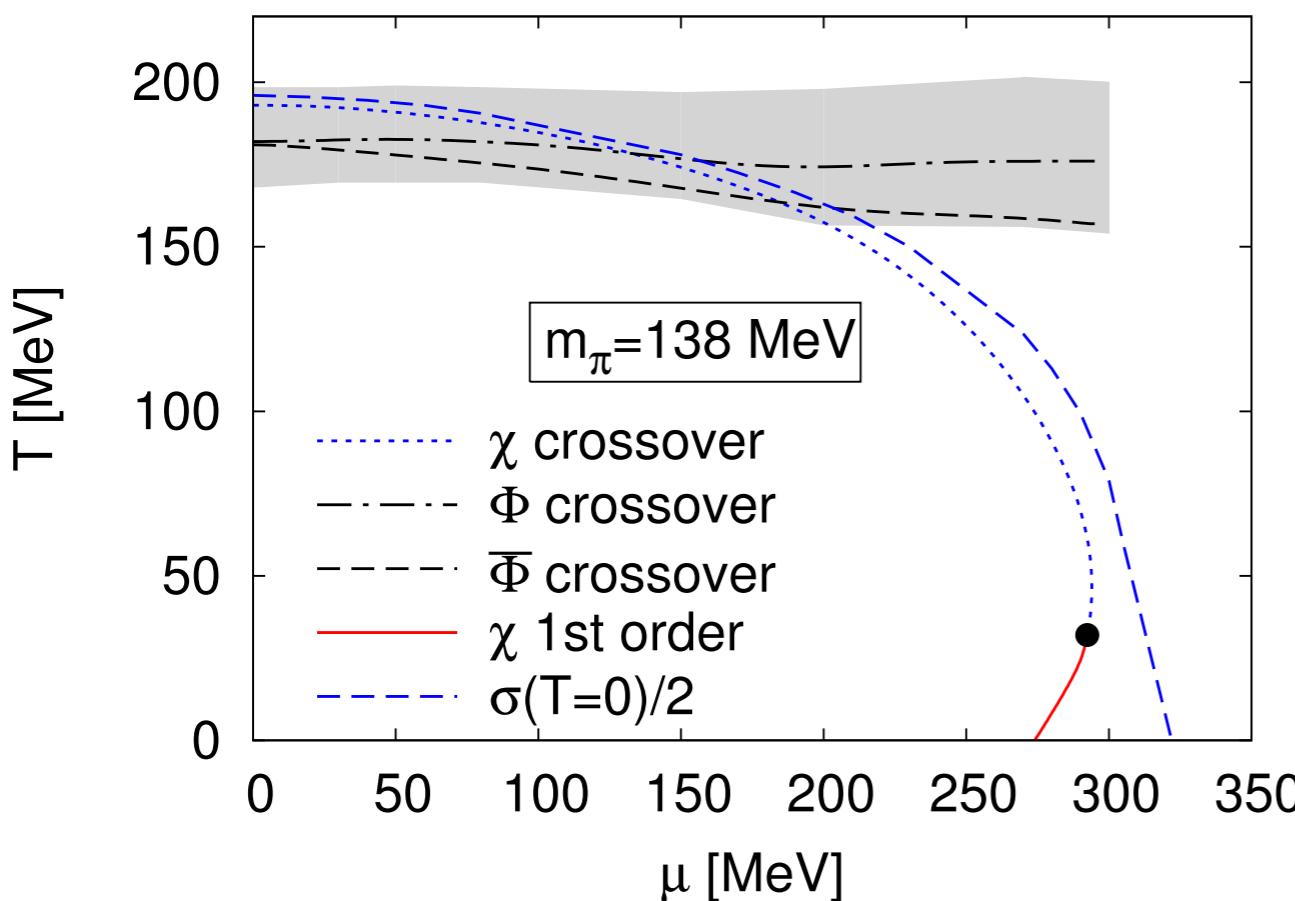
[BJS, Wambach 2005]

← two phase diagrams

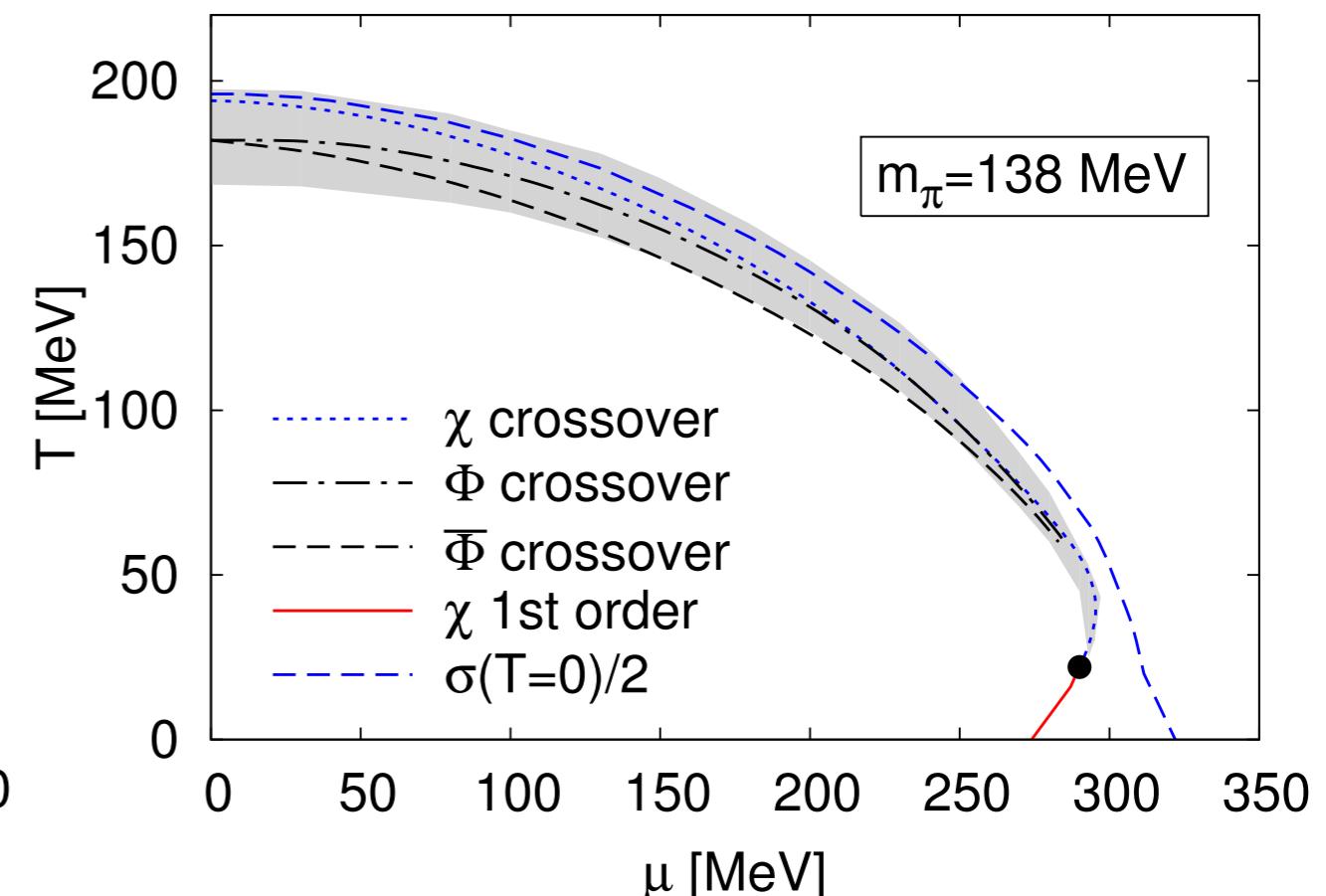
# FRG: Quark-Meson with Polyakov

$N_f = 2$  quark flavor

without back reaction  $(T_0(\mu) = \text{const})$



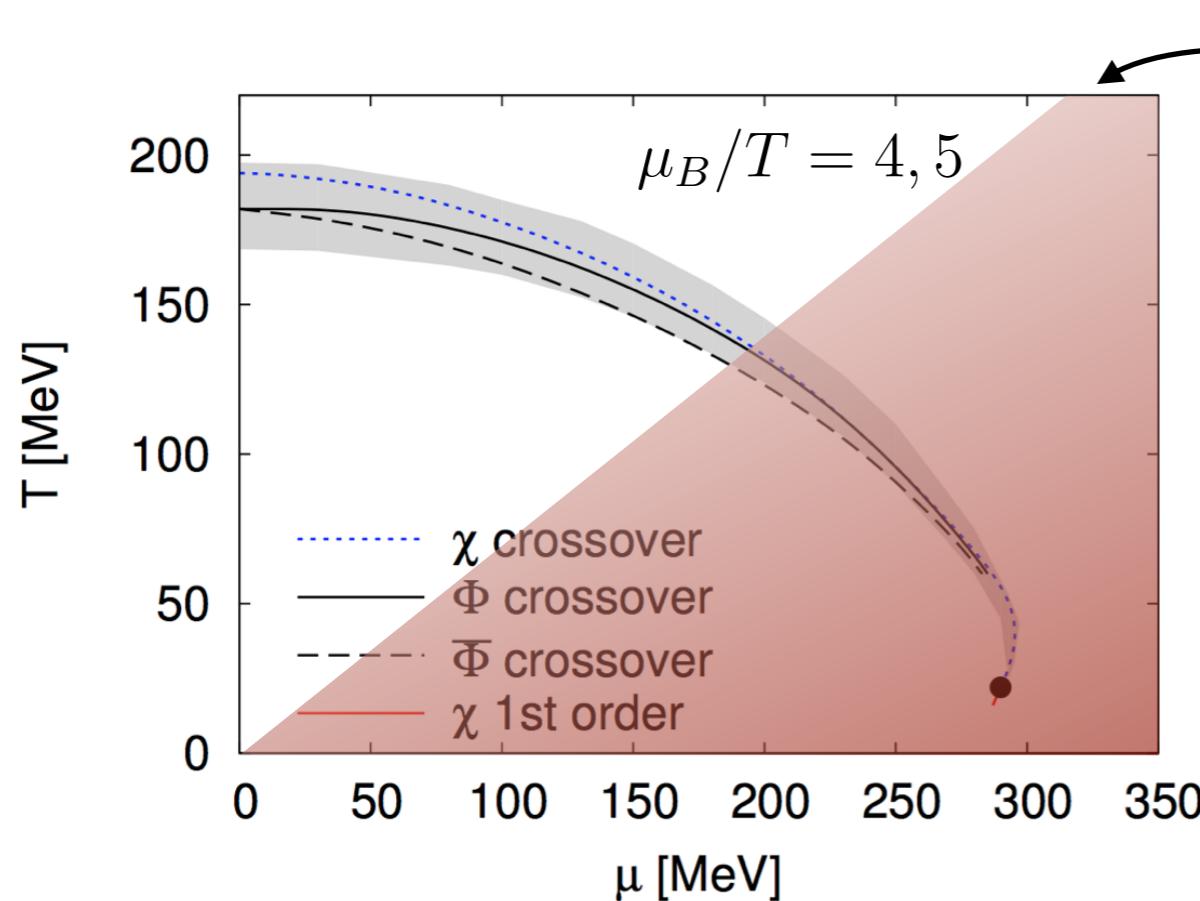
with back reaction  $(T_0(\mu))$



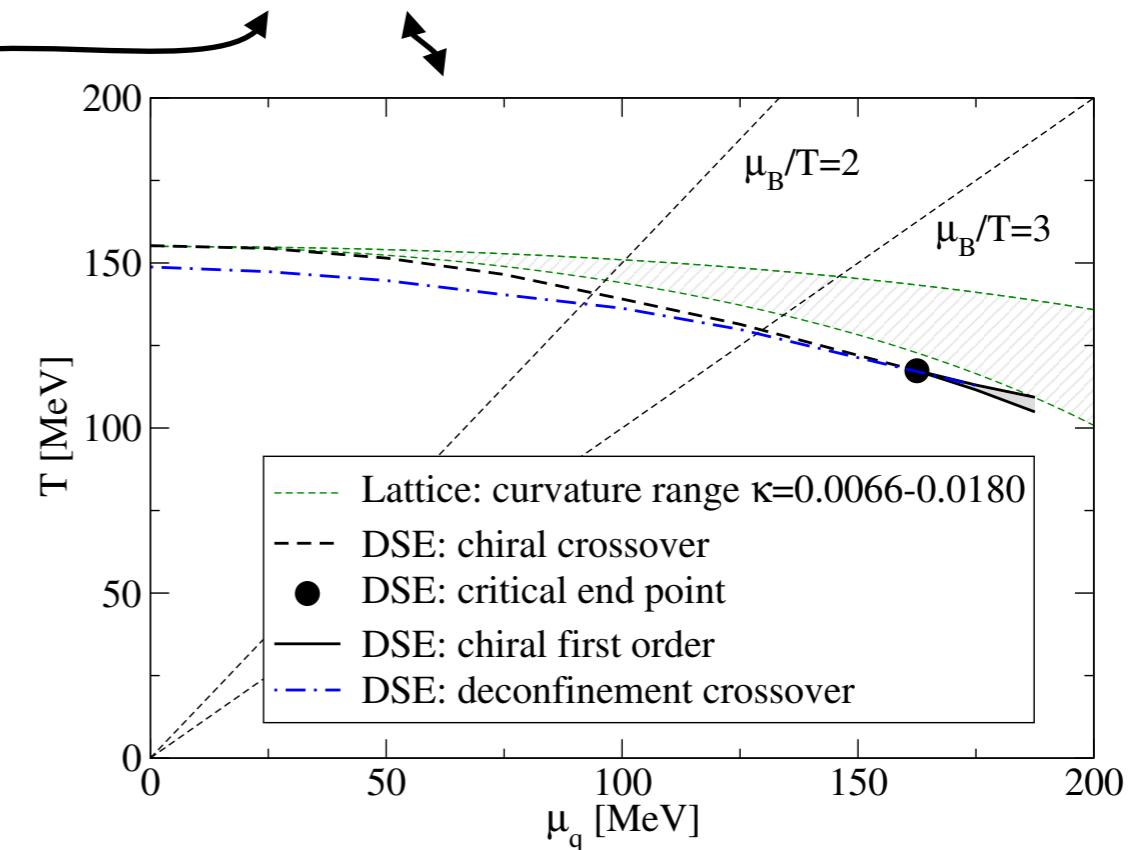
[Herbst, Pawłowski, BJS 2010,2013]

# Critical Endpoint ?

Exact location of CEP **not (yet)** accessible with lattice, FRG & DSE



[Herbst, Pawlowski, BJS 2011]



[Fischer, Luecker, Welzbacher 2014]

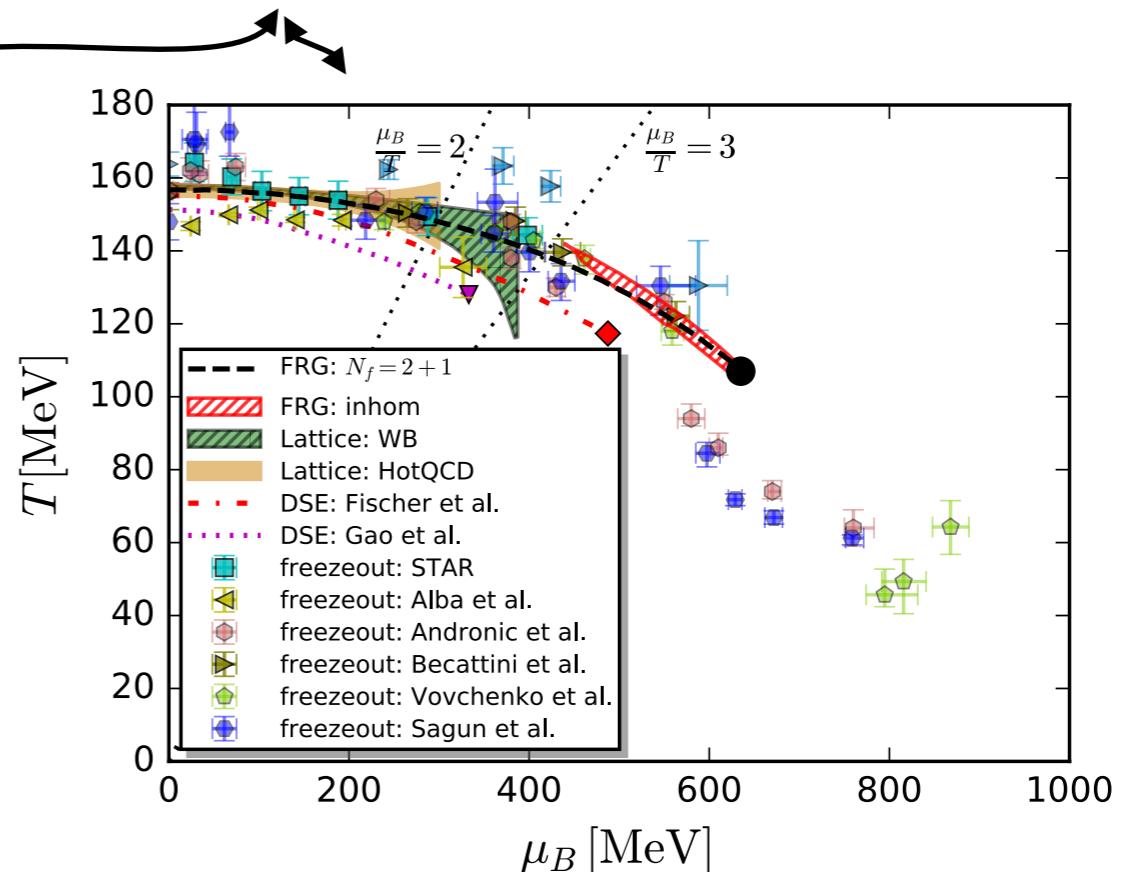
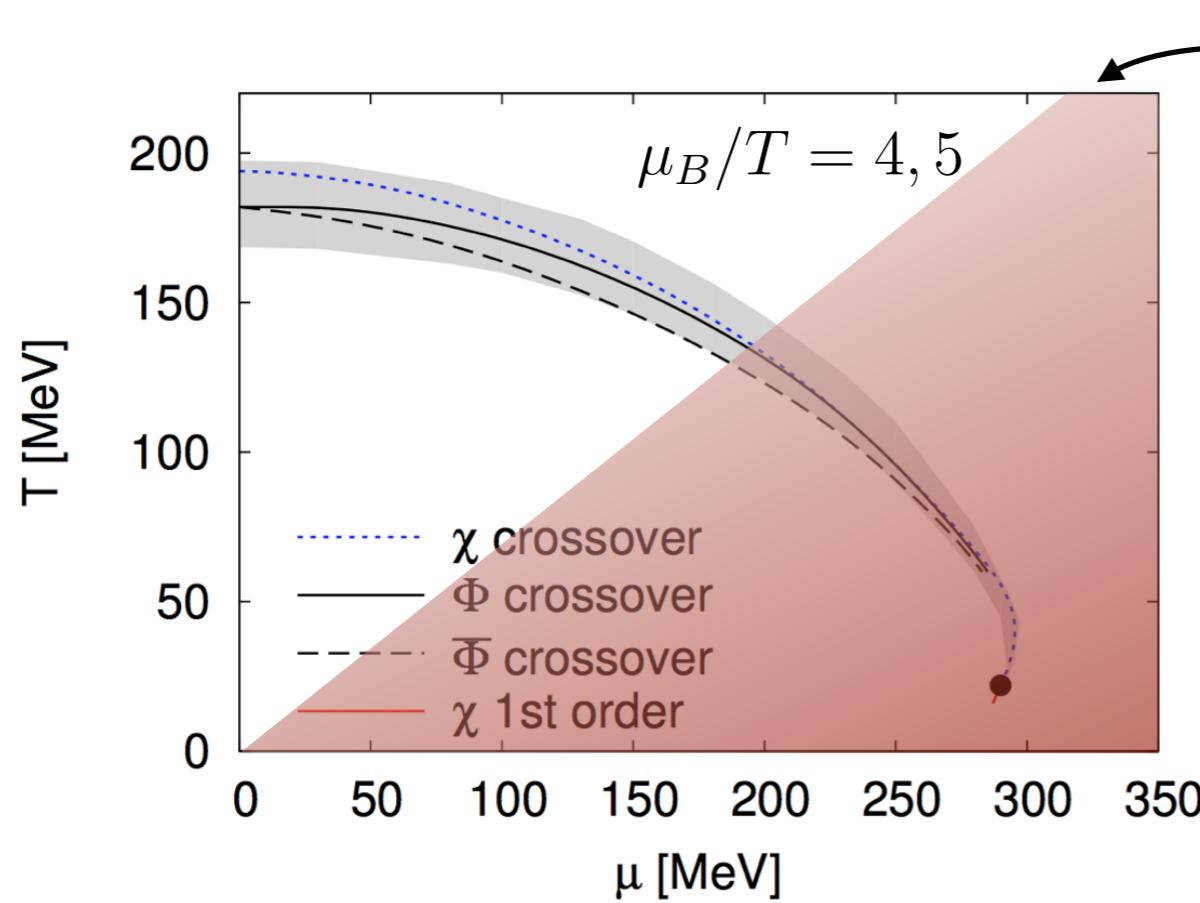
so far:

***we can exclude CEP for small densities:  $\mu_B/T < 3$***

**Higher densities: dynamical baryons needed! → relevant for neutron star EoS**

# Critical Endpoint ?

Exact location of CEP **not (yet)** accessible with lattice, FRG



so far:

***we can exclude CEP for small densities:  $\mu_B/T < 3$***

**Higher densities: dynamical baryons needed! → relevant for neutron star EoS**

# FRG and truncations

---

FRG has a controlled truncation scheme

Compare different orders

# Different FRG truncations

- different truncations:

[Rennecke, BJS 2018]

$$\Gamma_k = \int_x \left\{ \bar{q} Z_{q,k} (\gamma_\mu \partial_\mu + \gamma_0 \mu) q + \bar{q} h_k \cdot \Sigma_5 q + \text{tr}(Z_{\Sigma,k} \partial_\mu \Sigma \cdot \partial_\mu \Sigma^\dagger) + \tilde{U}_k(\Sigma) \right\}$$

truncation	running couplings
LPA'+Y	$\tilde{U}_k, \bar{h}_{l,k}, \bar{h}_{s,k}, Z_{l,k}, Z_{s,k}, Z_{\phi,k}$
LPA+Y	$\tilde{\bar{U}}_k, \bar{h}_{l,k}, \bar{h}_{s,k}$
LPA	$\tilde{U}_k$

LPA = local potential approximation = leading order derivative expansion

Y = Yukawa coupling running

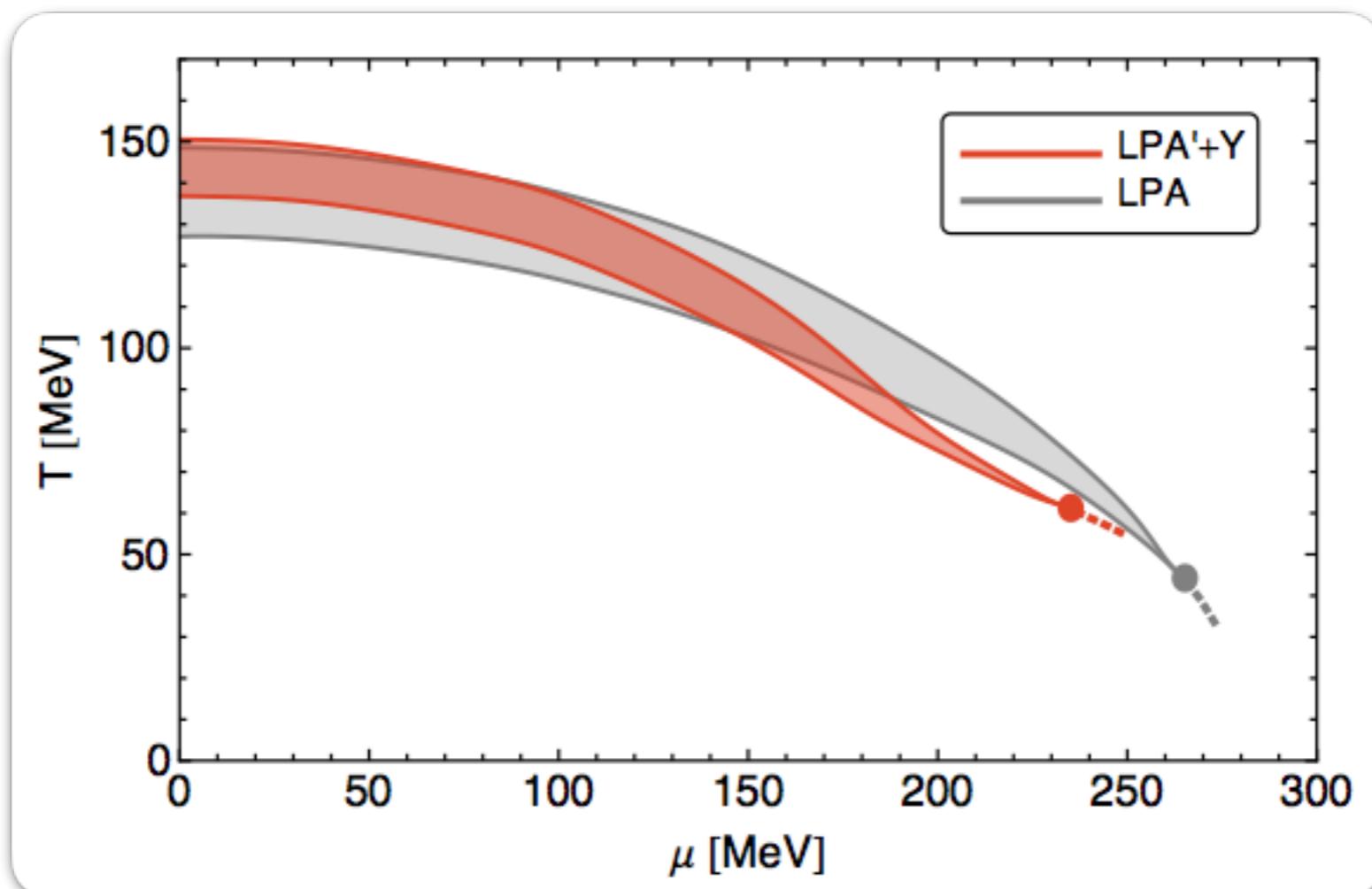
LPA' = beyond local potential approximation include wave function renormalization

# Chiral Phase Diagram

- Critical Endpoint for different truncations:

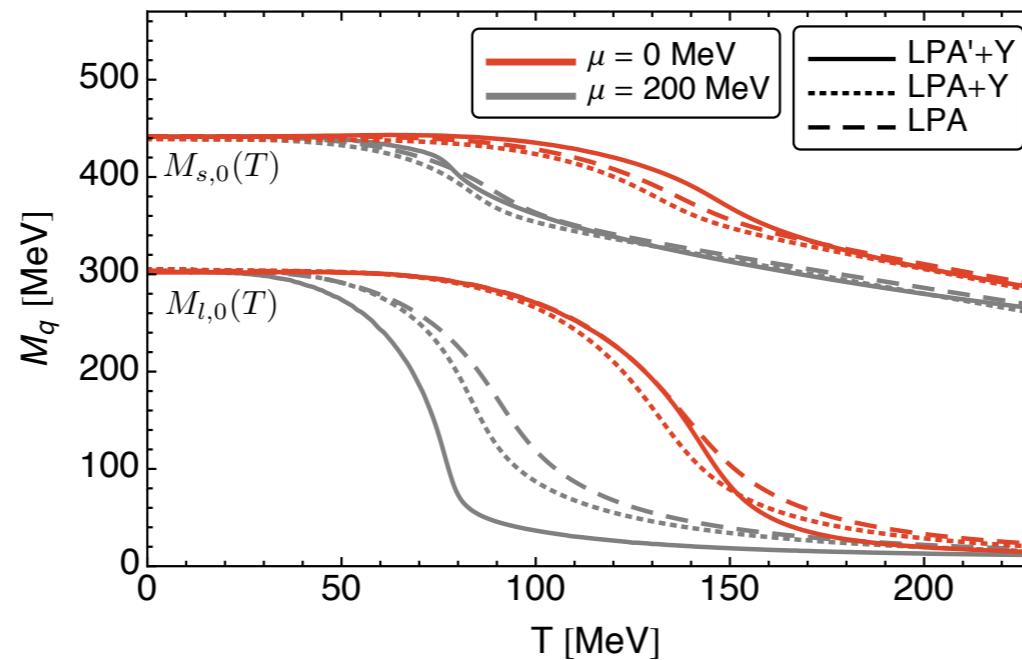
[Rennecke, BJS 2018]

truncation	running couplings	$(T_{\text{CEP}}, \mu_{\text{CEP}})$ [MeV]
LPA'+Y	$\tilde{U}_k, \bar{h}_{l,k}, \bar{h}_{s,k}, Z_{l,k}, Z_{s,k}, Z_{\phi,k}$	(61,235)
LPA+Y	$\tilde{U}_k, \bar{h}_{l,k}, \bar{h}_{s,k}$	(46,255)
LPA	$\tilde{U}_k$	(44,265)



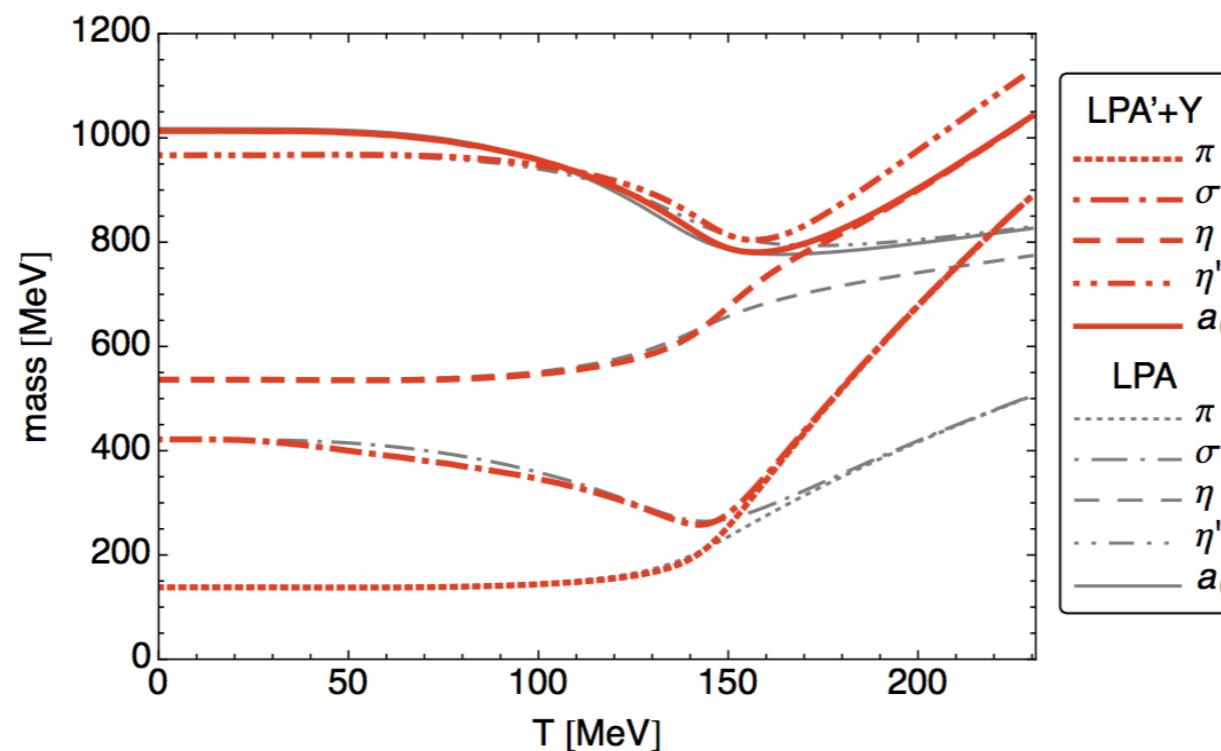
# Masses

- quark masses



[Rennecke, BJS 2018]

- meson masses



driven by the mesonic  
wave-function  
renormalization

*mesons decouple  
more rapidly  
beyond LPA*

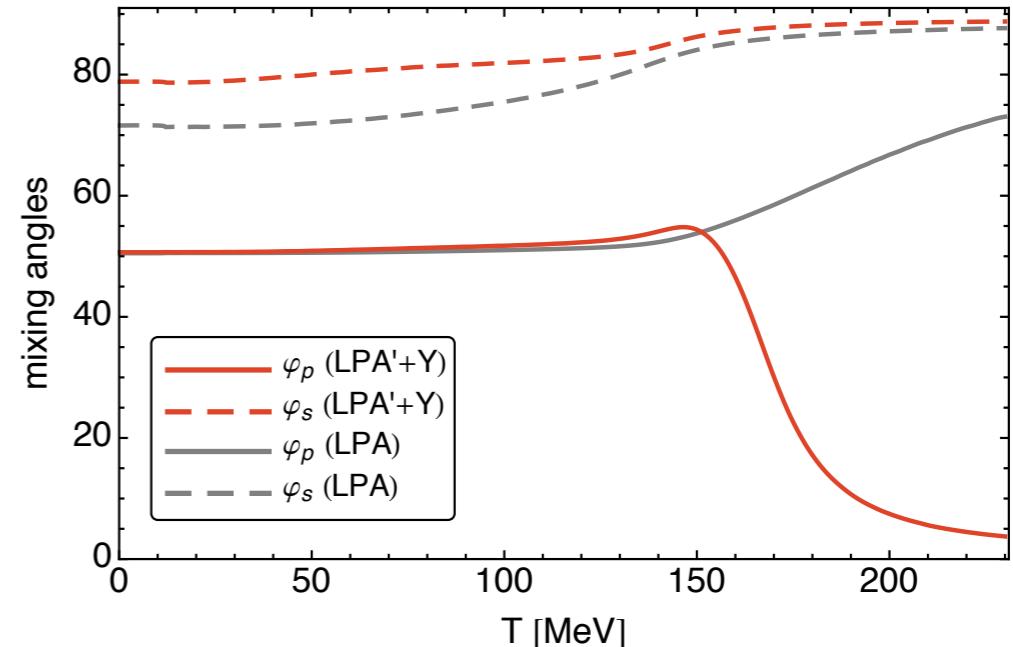
# Chiral multiplets

- mixing angles determine light and strange quark content of  $\sigma$ ,  $f_0$ ,  $\eta$ ,  $\eta'$  mesons

[Rennecke, BJS 2018]

$$\begin{pmatrix} f_0 \\ \sigma \end{pmatrix} = \begin{pmatrix} \cos \varphi_s & -\sin \varphi_s \\ \sin \varphi_s & \cos \varphi_s \end{pmatrix} \begin{pmatrix} \sigma_l \\ \sigma_s \end{pmatrix}$$

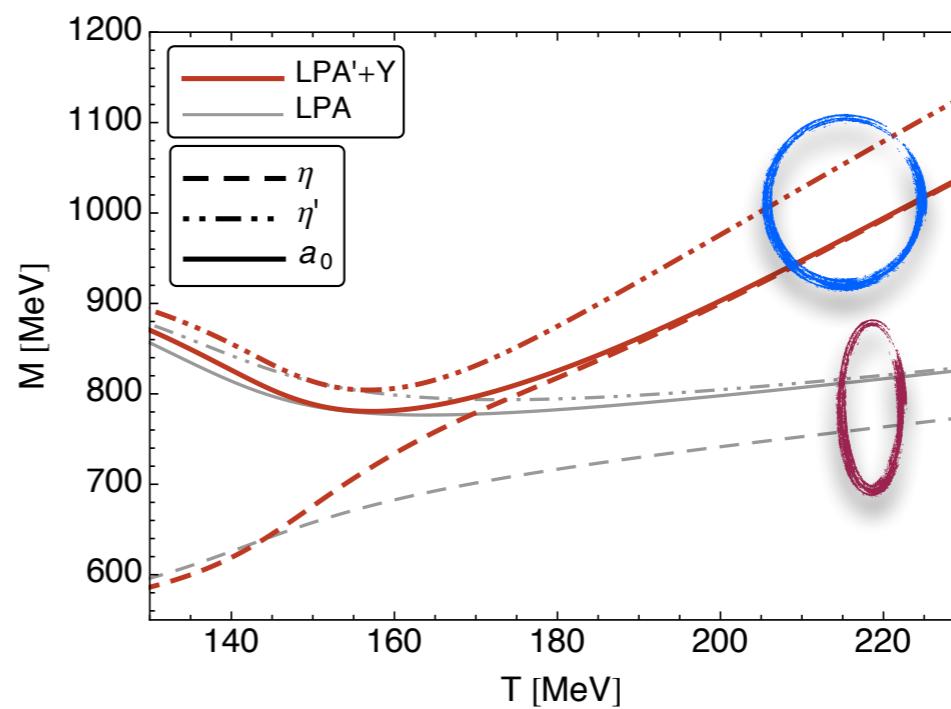
$$\begin{pmatrix} \eta \\ \eta' \end{pmatrix} = \begin{pmatrix} \cos \varphi_p & -\sin \varphi_p \\ \sin \varphi_p & \cos \varphi_p \end{pmatrix} \begin{pmatrix} \eta_l \\ \eta_s \end{pmatrix}$$



**significant effects on pseudoscalar mixing beyond LPA!**

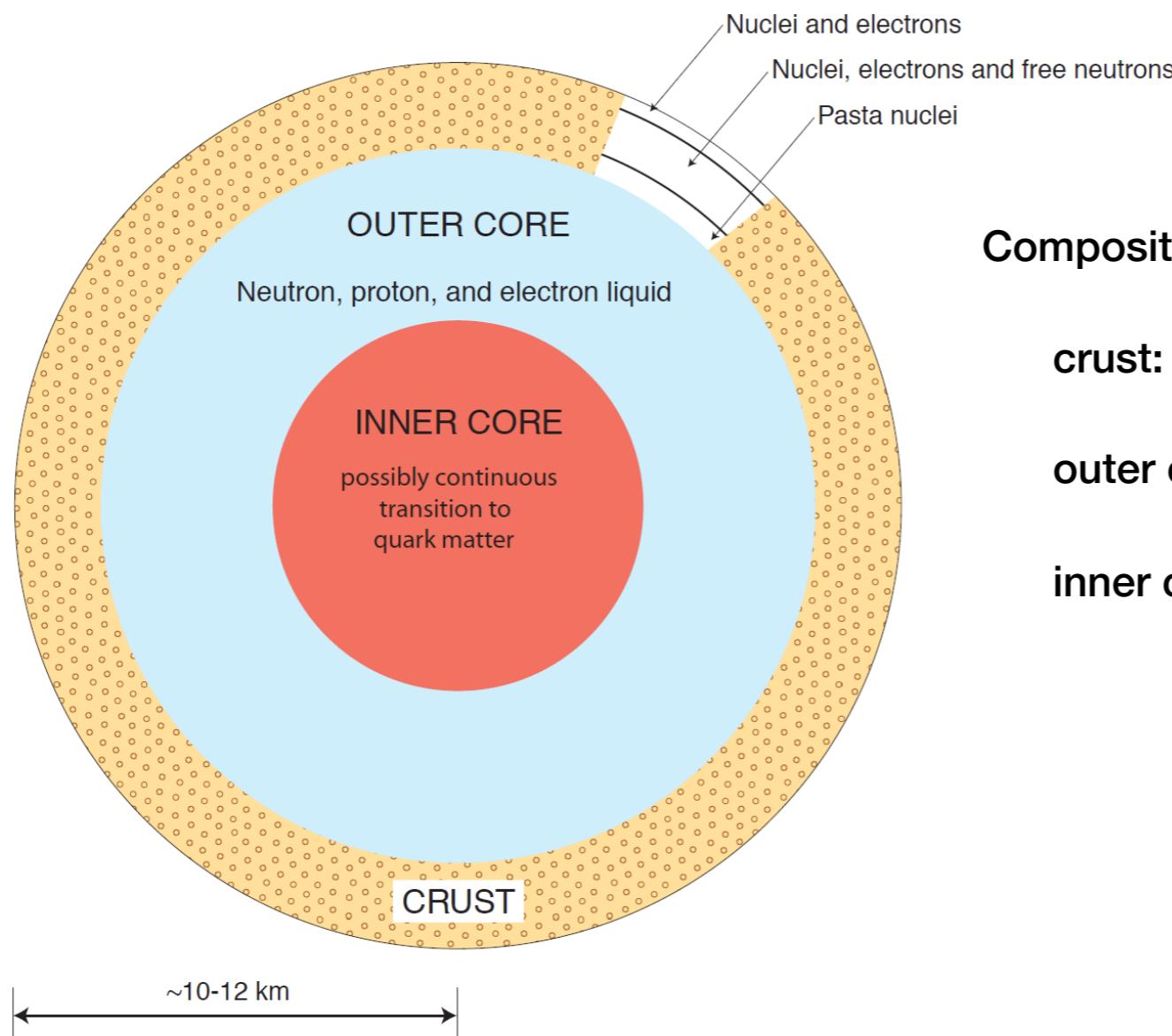
consequence: chiral partners of  $\eta$  and  $\eta'$  change!

mean-field/LPA	LPA' + Y
$(\eta, f_0)$	$(\eta, a_0)$
$(\eta', a_0)$	$(\eta', f_0)$



# Neutron Stars

[Baym 2019]



Current mass benchmark:

pulsar J0348+0432 with  $\sim 2 M_\odot$

Composition:

crust: solid with transition to liquid nuclear matter

outer core: up to  $\sim 2 \rho_0$

inner core: quark matter?

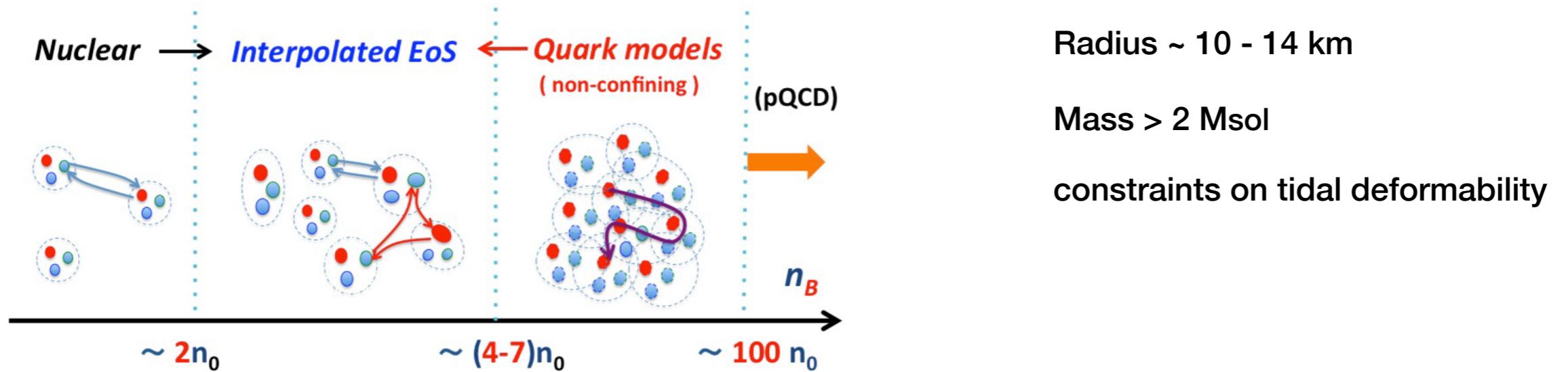
hyperons?

strangeness?

# Equation of State

[Baym 2018]

Experimental constraints:



Nuclear phase:

restrictions on EoS  
at low densities  
from nuclear physics

Quark phase:

mostly mean-field investigations  
like NJL-type or phenomenological  
models

[Hebeler, Lattimer, Pethick, Schwenk et al. 2010 ]

combination:

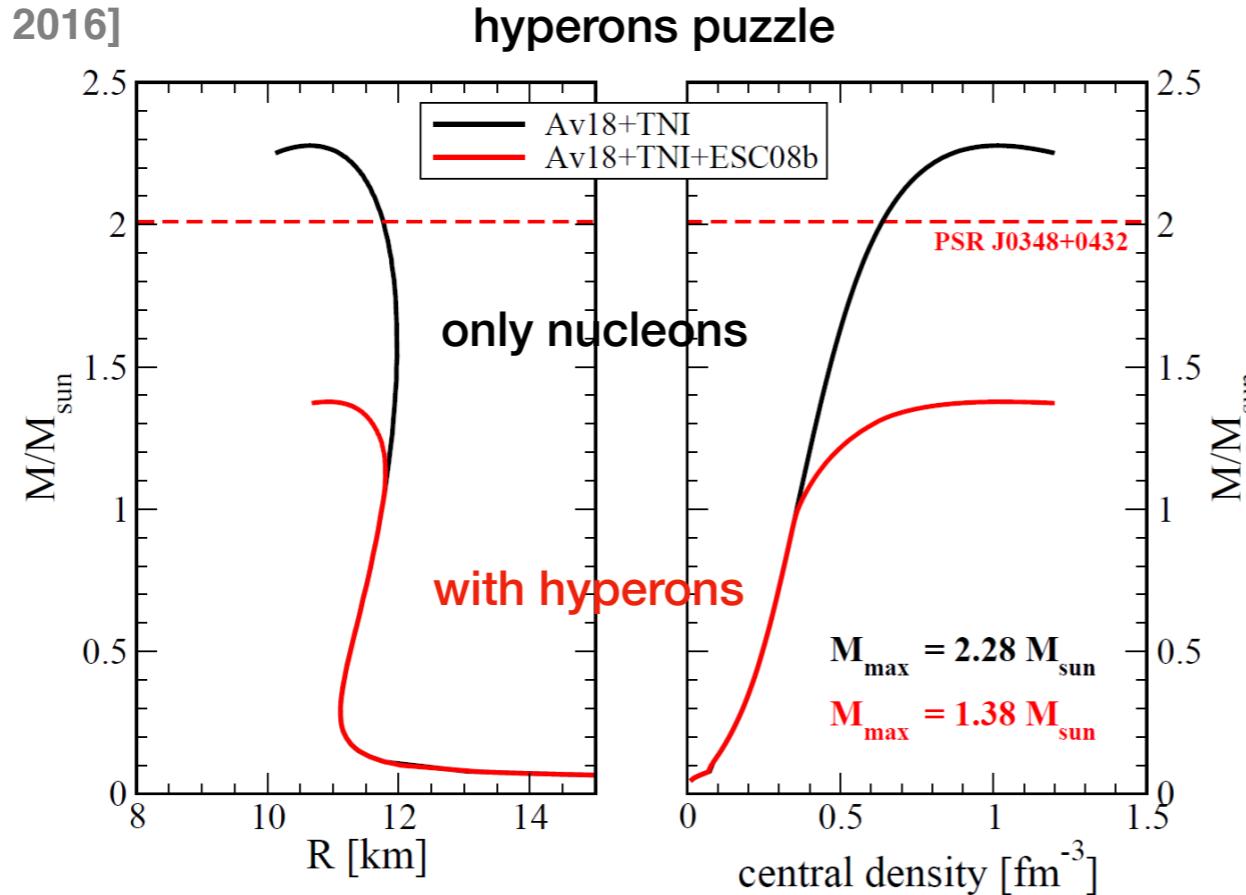
Maxwell construction or continuous interpolation

[Schaffner-Bielich et al. 2008 ]

[Blaschke, Fischer, Oertel et al. 2018 ]

# Mass-Radius relation

[Bombaci 2016]



Further constraints:

stability under radial oscillations:

causality:

charge neutrality:  $n_p = n_e + n_\mu$

beta equilibrium:  $\mu_n = \mu_p + \mu_e$

→ electrons and muons as free Fermi gas to energy density and pressure

## General issues:

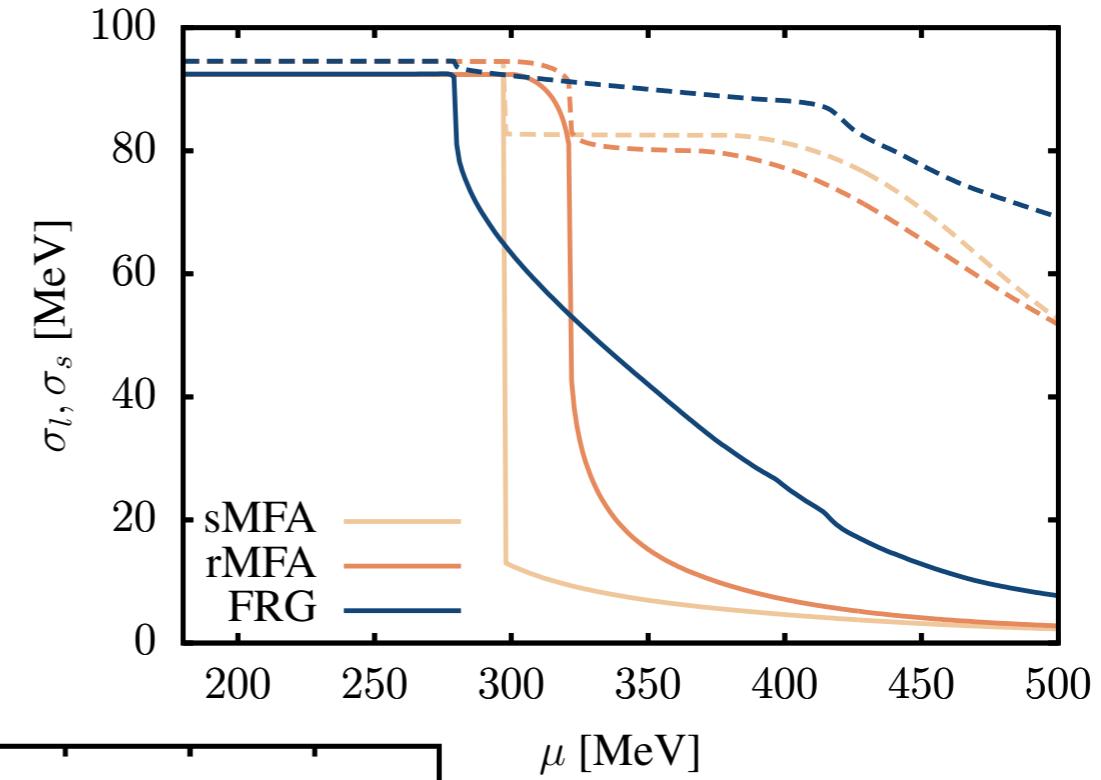
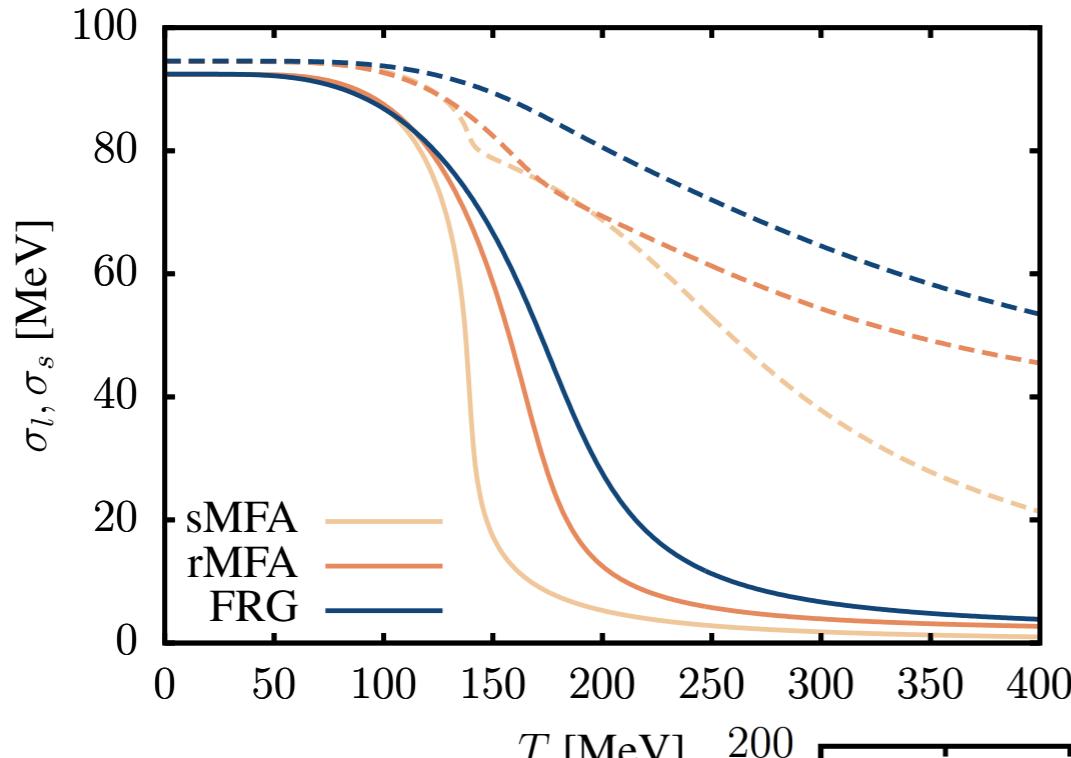
many EoS look similar → similar mass-radius relation

→ masquerade problem [Alvarez-Castillo, Blaschke 2014]

onset of strangeness in hadronic / quark phase or not at all?

→ hyperon puzzle [Djapo, BJS, Wambach 2010]

# Phase diagrams



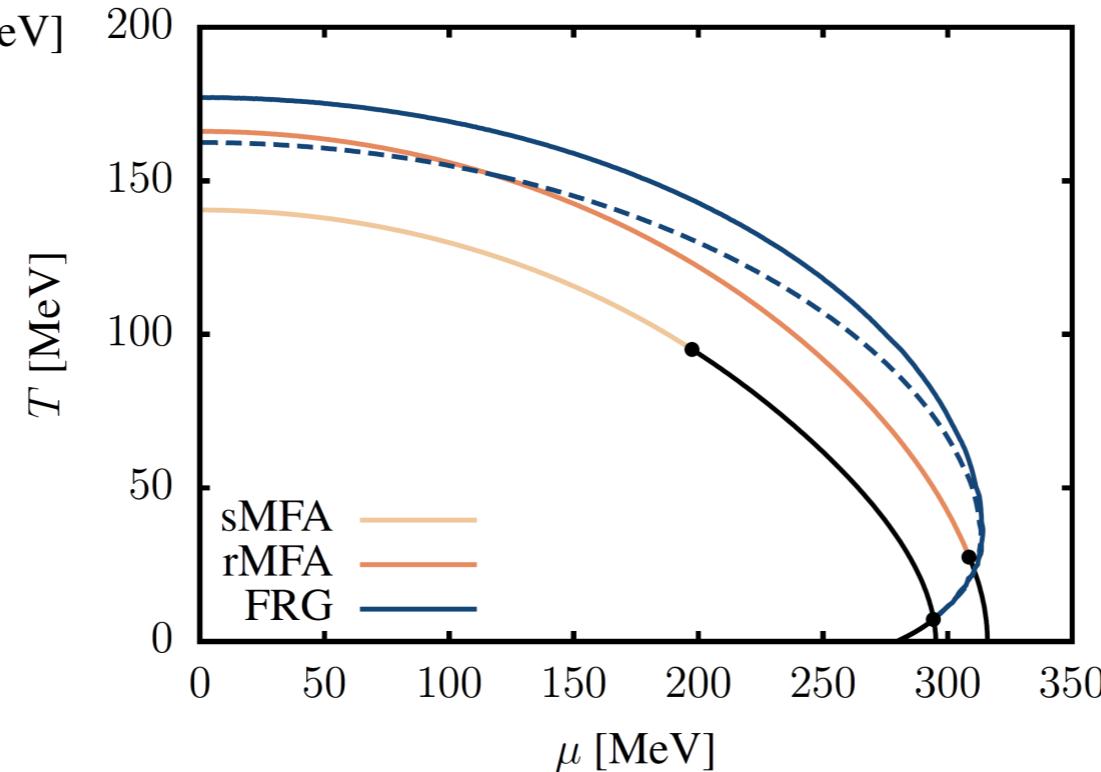
[Otto, Oertel, BJ<sub>S</sub> to be publish]

dashed lines:

$N_f = 2 + 1$

solid lines:

$N_f = 2$



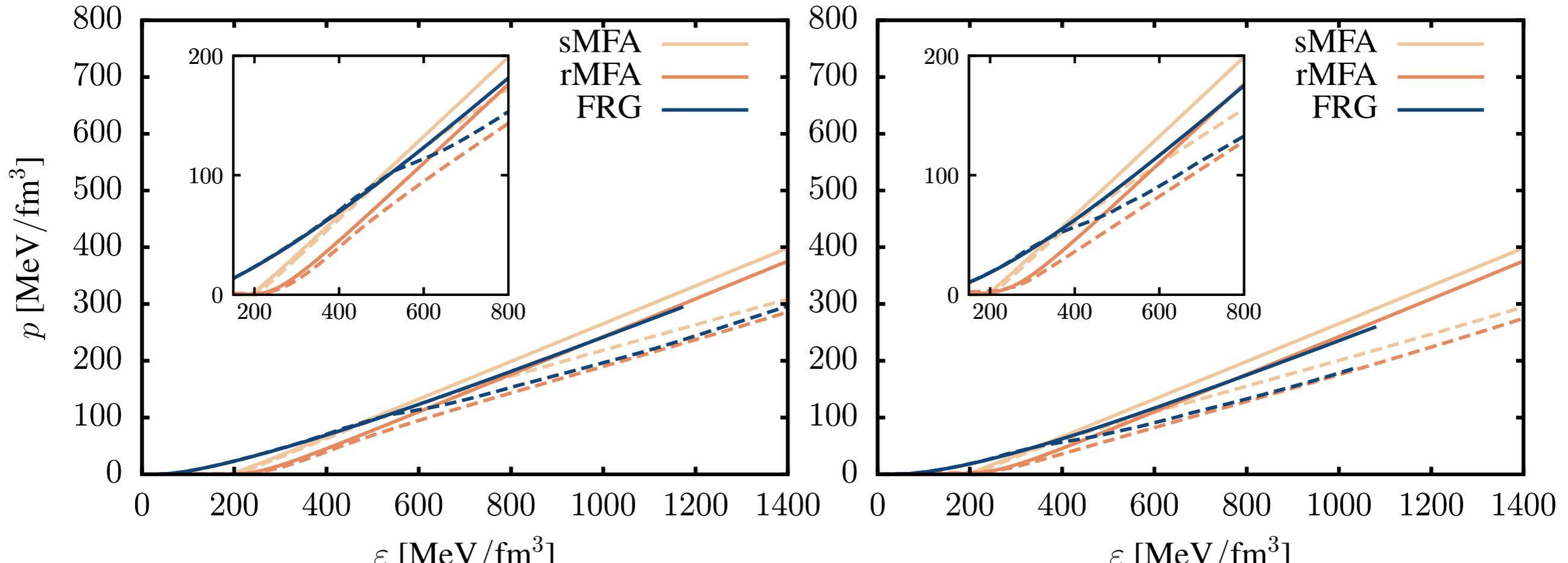
sMFA: no quark loop vacuum

rMFA: with quark loop vacuum

FRG: all quark & meson loops

# EoSs for quark matter

[Otto, Oertel, BJS to be publish]



symmetric matter

$\beta$ -stable and charge neutral matter

dashed lines:

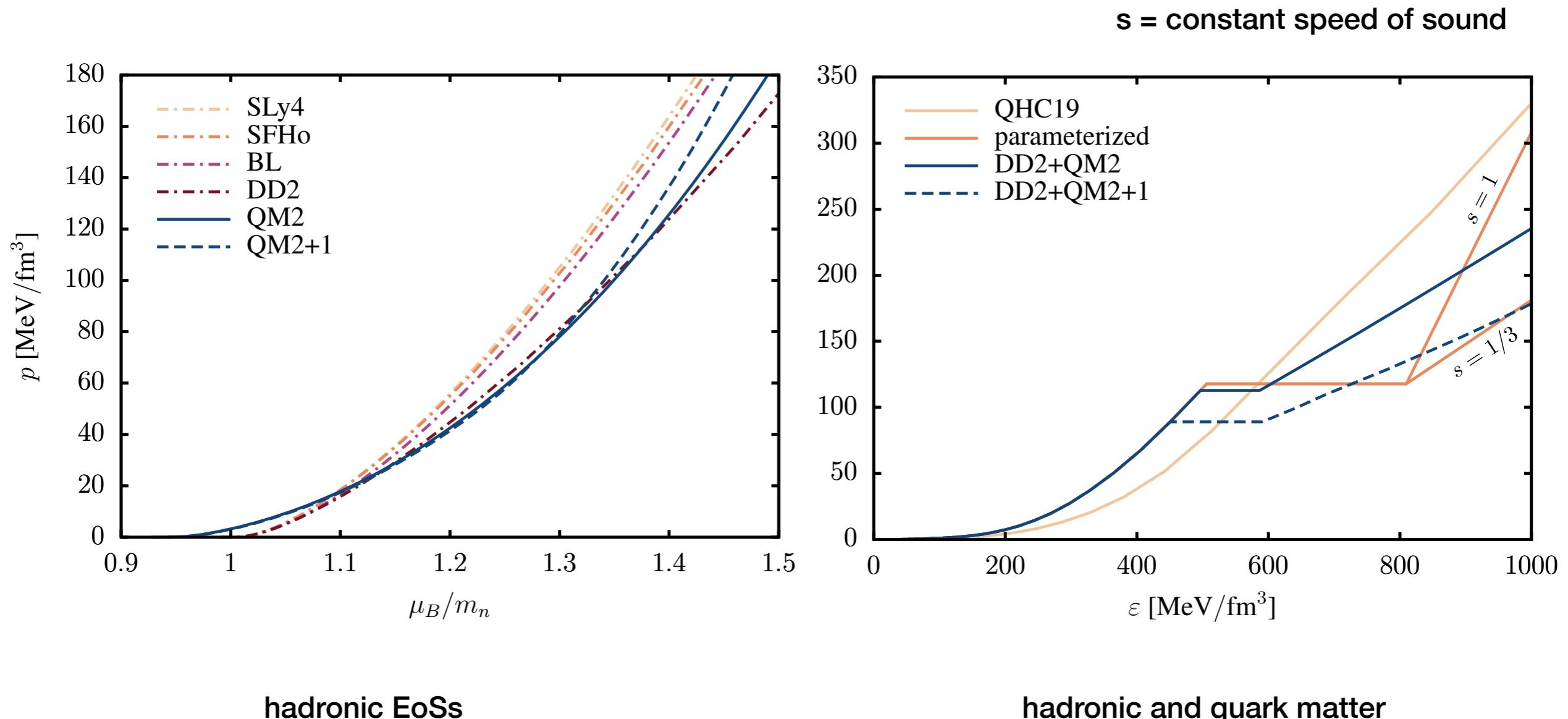
$$N_f = 2 + 1$$

solid lines:

$$N_f = 2$$

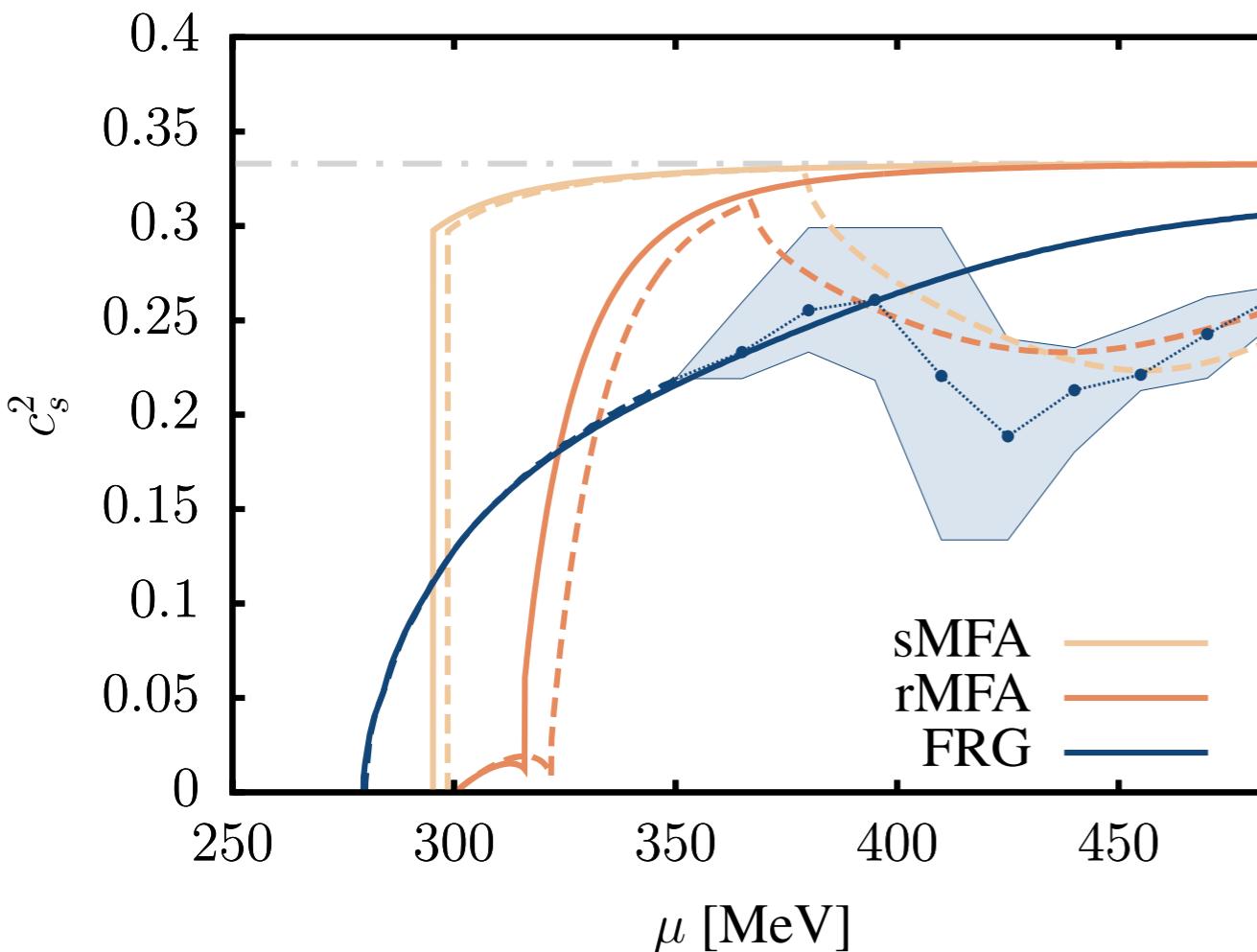
# Hadronic and Quark EoSs

[Otto, Oertel, BJS to be publish]

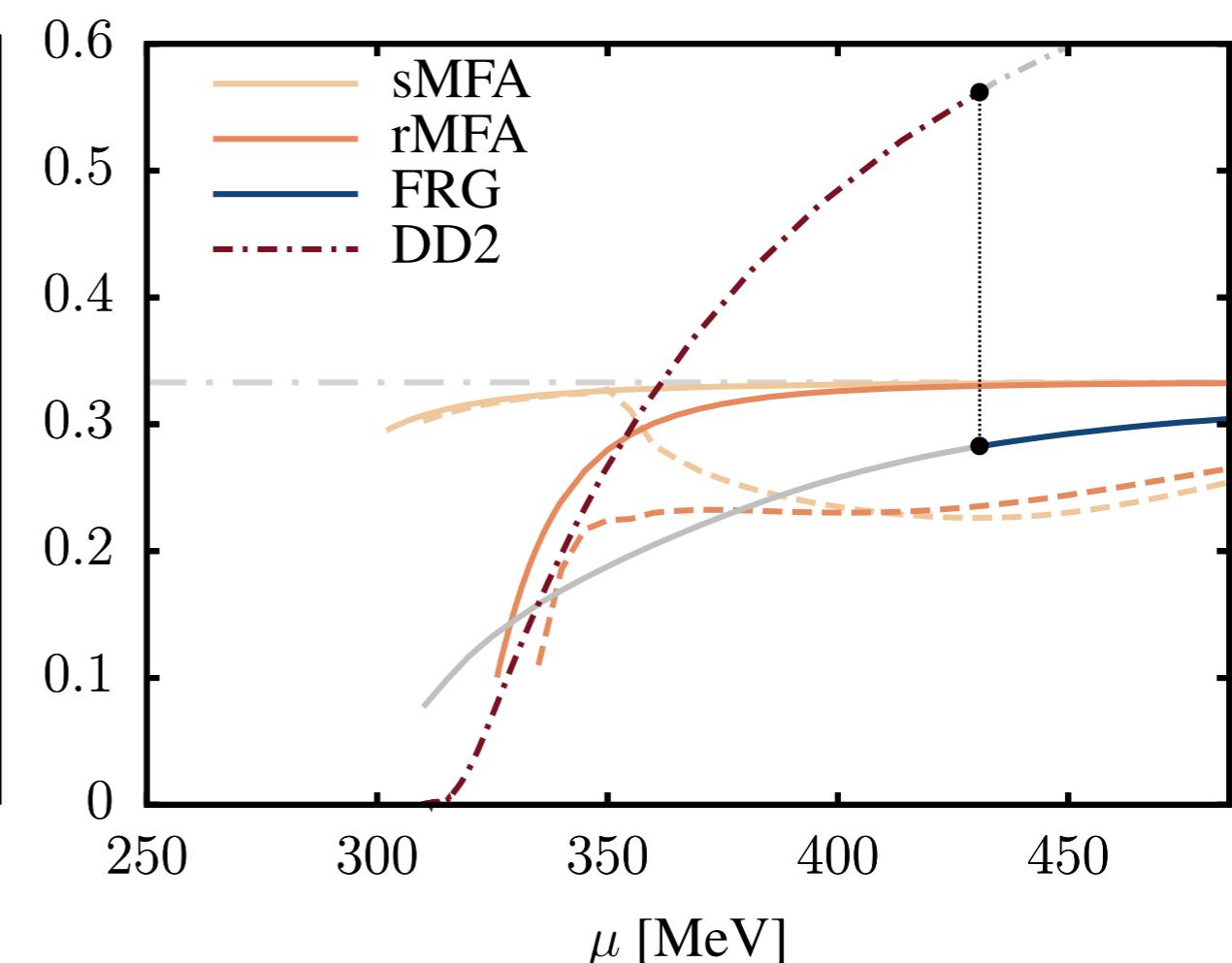


# Speed of sound

[Otto, Oertel, BJS to be publish]



symmetric matter

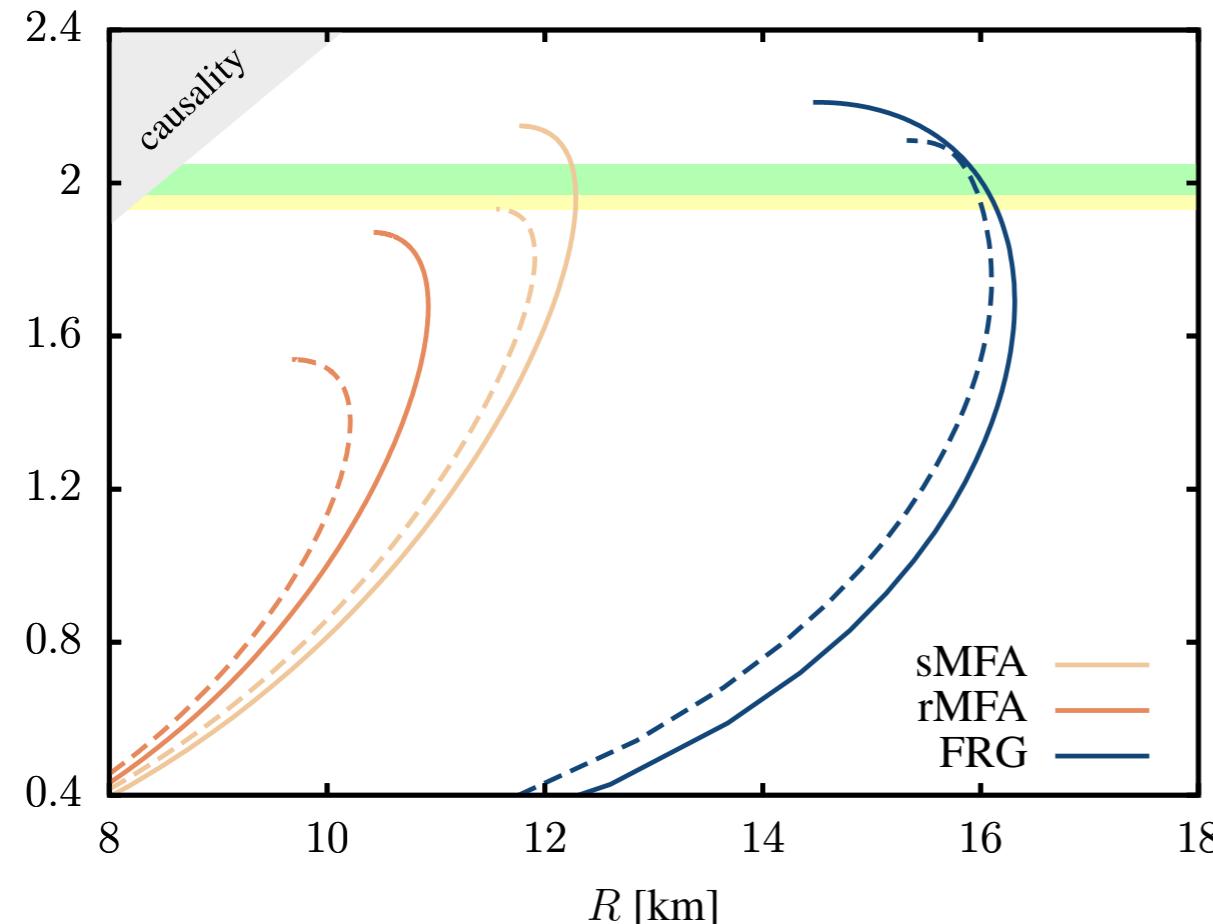


$\beta$ -stable and charge neutral matter

# Mass-Radius relation

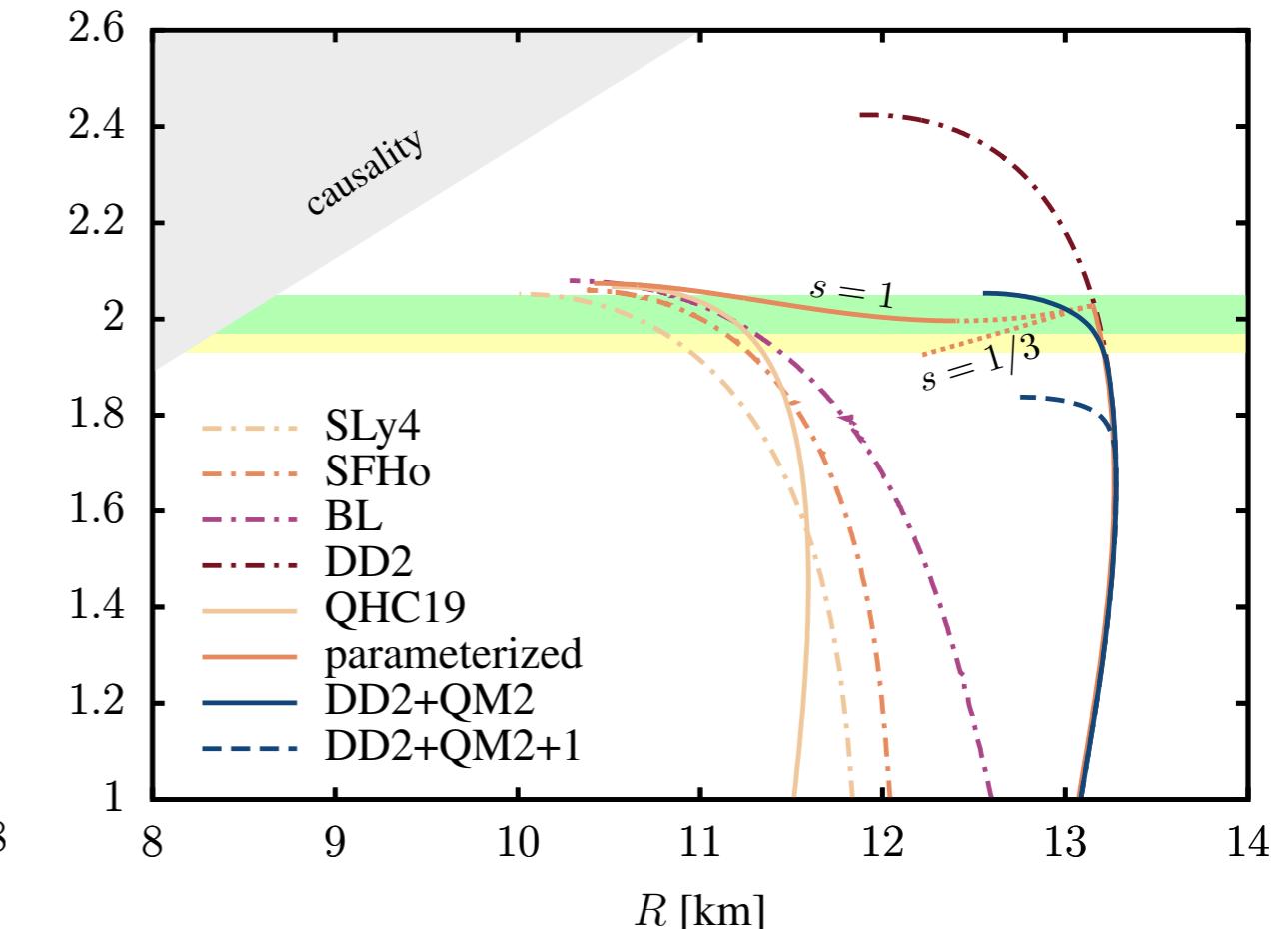
[Otto, Oertel, BJS to be publish]

$M/M_\odot$



pure quark matter

$M/M_\odot$



$s = \text{constant speed of sound}$

hadronic EoSs

hadronic and quark matter

# Summary

---

- ▶ quantum and thermal fluctuations on QCD phase diagram via FRG investigation with different truncations: LPA, LPA', LPA'+Y
  - fluctuations are important (beyond LPA )
  - mass sensitivity of the chiral phase structure (Columbia plot)
  - neutron star matter with the FRG

# Columbia plot

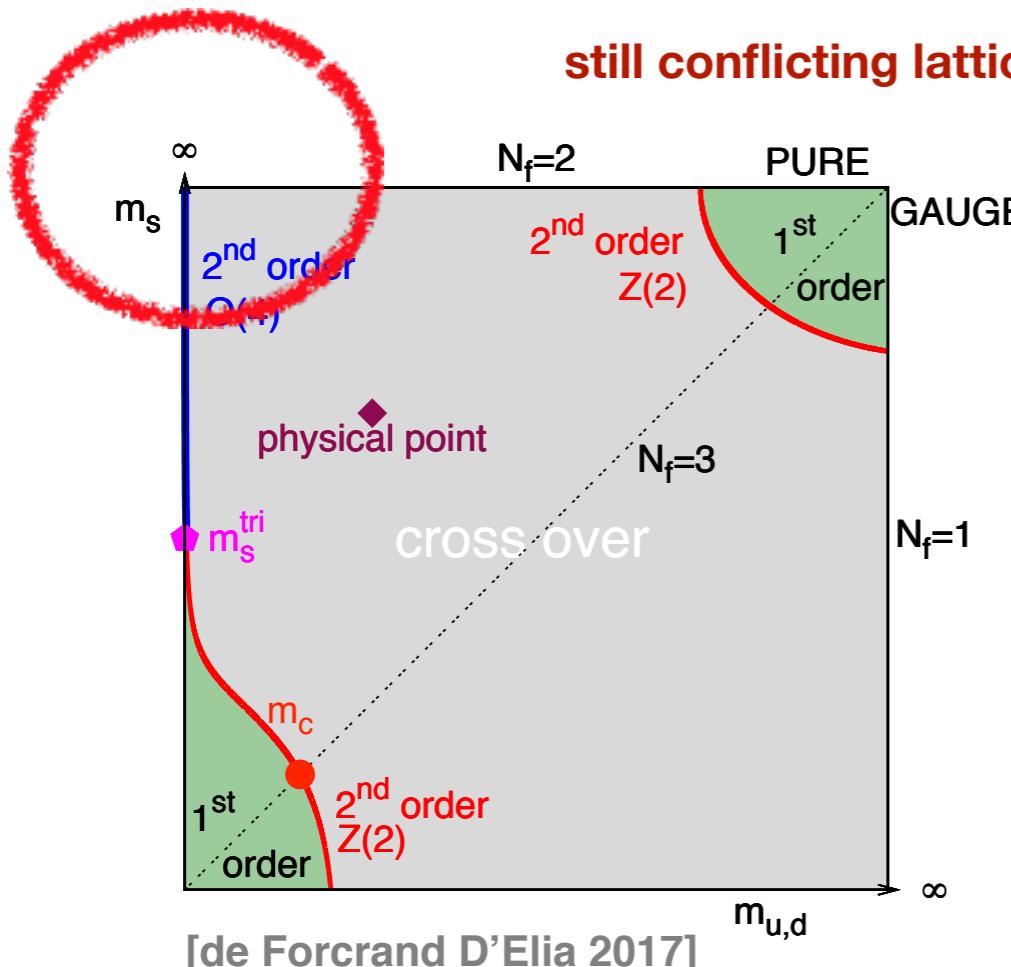
For physical quark masses: smooth phase transitions → deconfinement: analytic change of d.o.f.  
 → associated global QCD symmetries only **exact** in two mass limits

1.infinite quark masses (center symmetry)

Order parameter: VEV of traced Polyakov loop(s)

2. massless quarks (chiral symmetry)

Order parameter: chiral condensate(s)



open issue:  $N_f=2$ :  $O(4)$ ?    $U(2)_L \times U(2)_R / U(2)_V$ ?

→ similar crit. exponents  
 or even 1st order?

→ dep. on strength of axial anomaly!

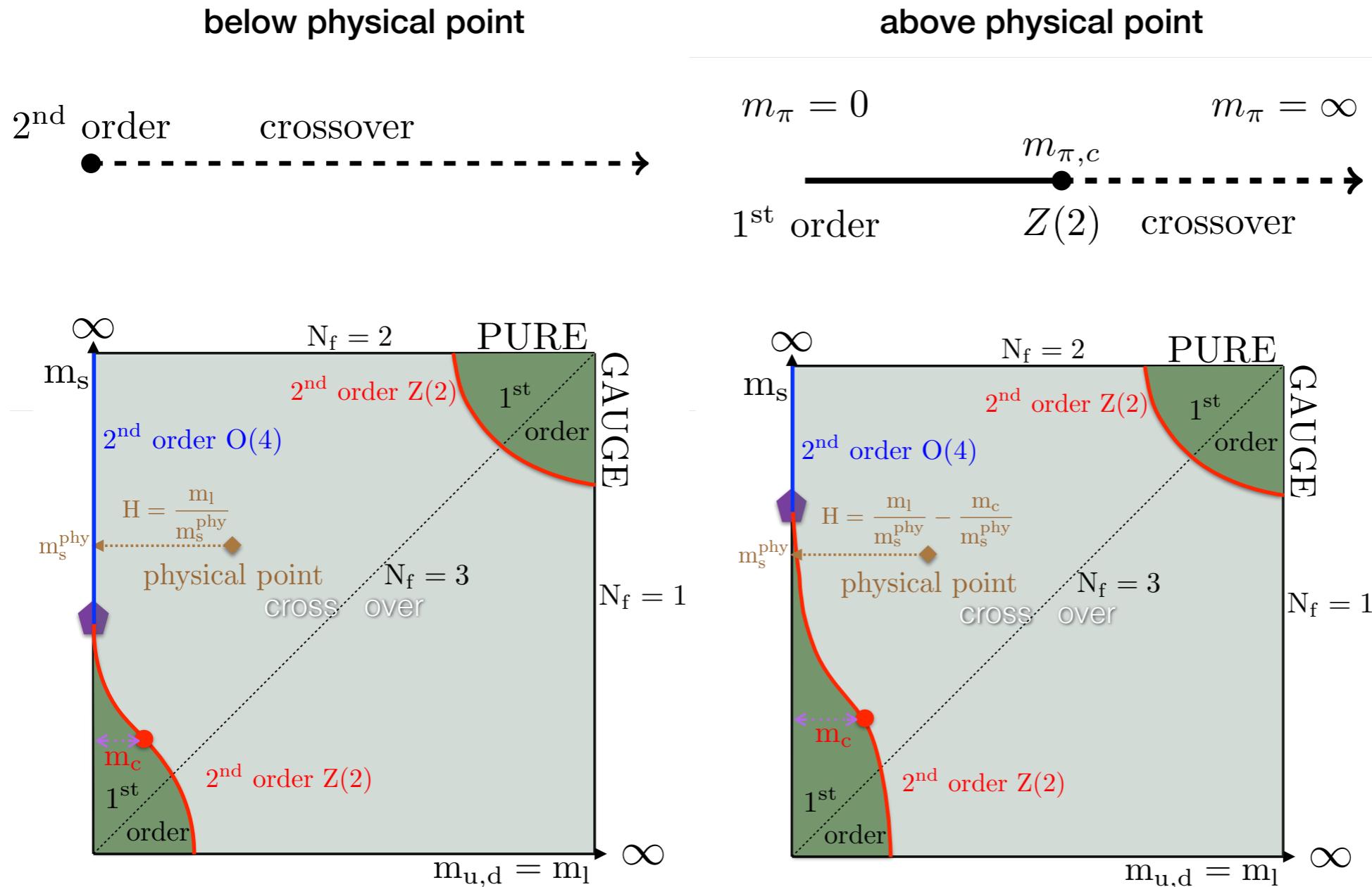
• example:

if  $U_A(1)$  broken @  $T_c$  →  $O(4)$  universality

→ there exist tricritical  $m^{tri}_s$

# Columbia plot

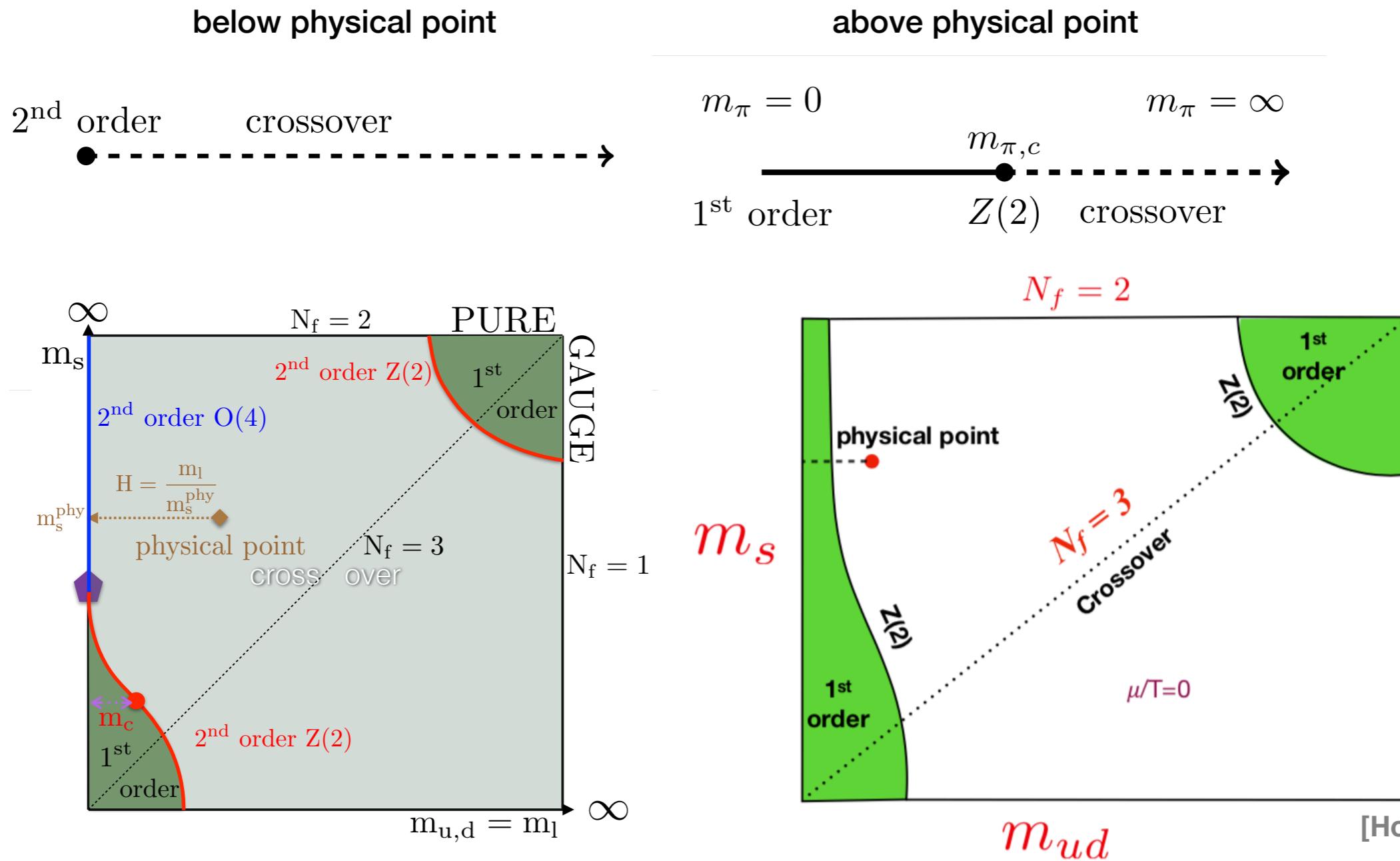
location of tri-critical point still an open question (maybe shifts to infinite strange quark mass)



[HotQCD 2019]

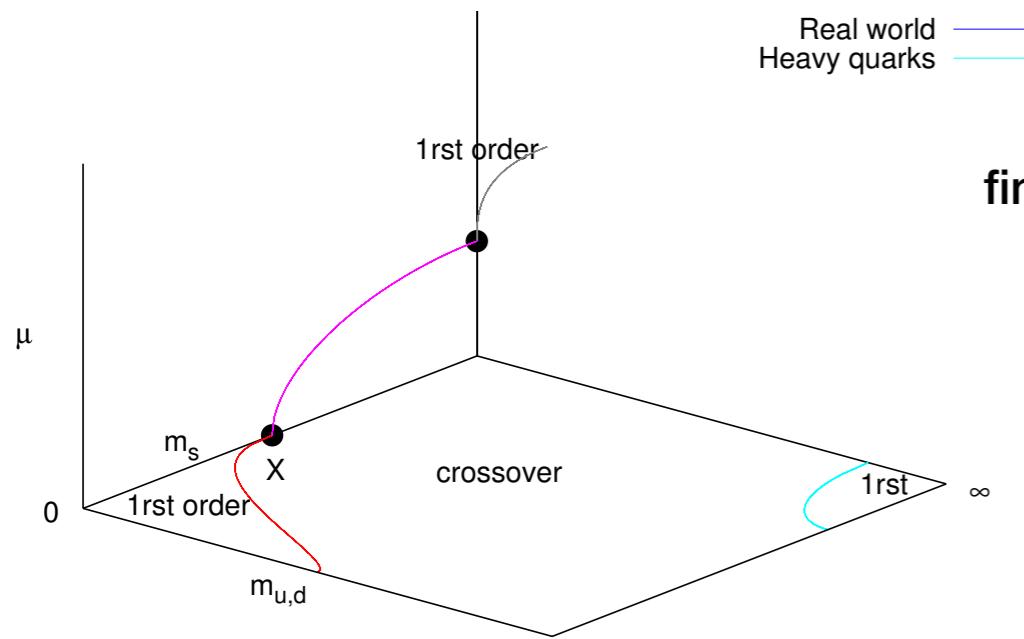
# Columbia plot

location of tri-critical point still an open question (maybe shifts to infinite strange quark mass)

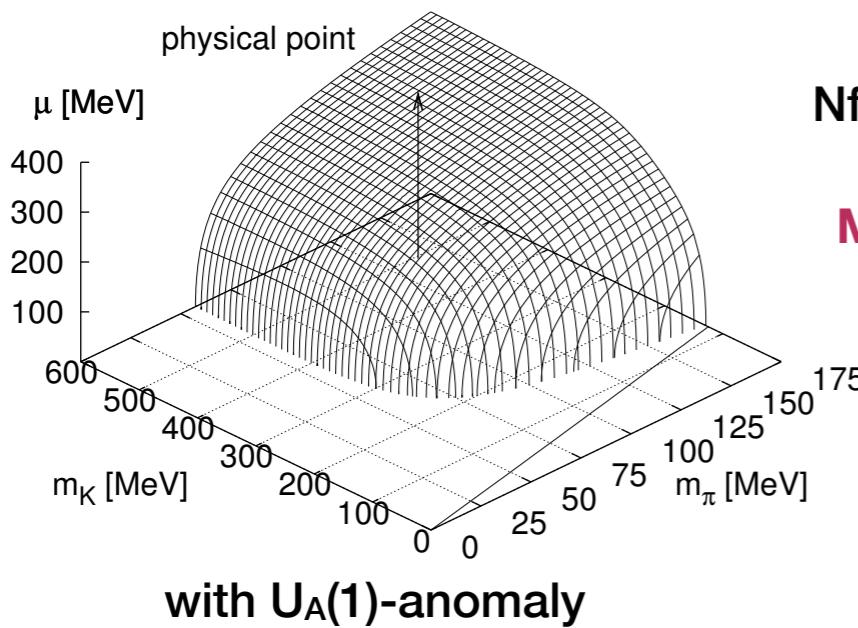
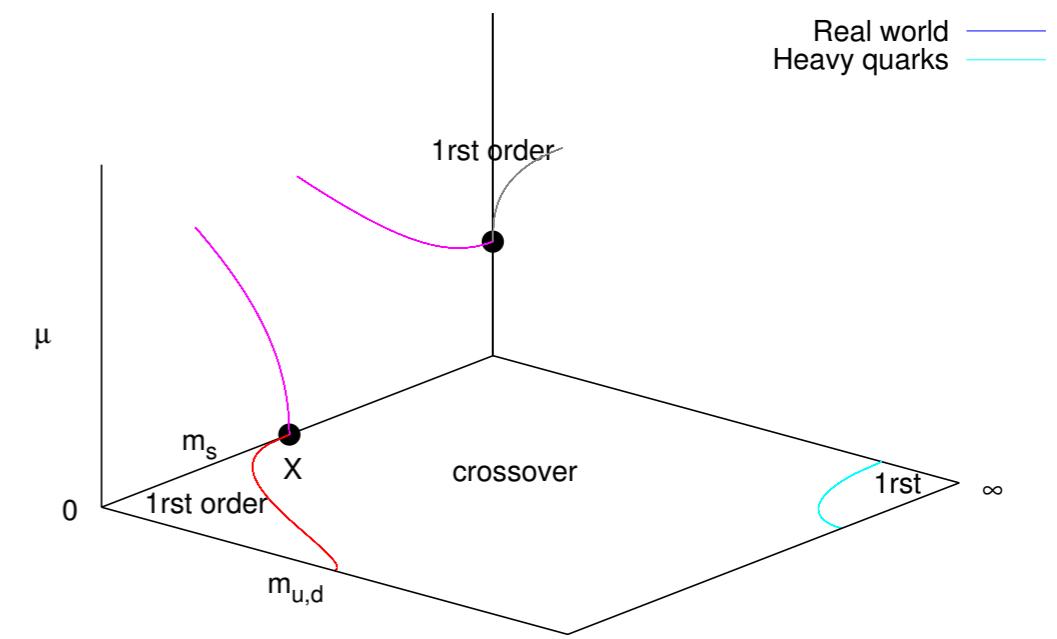


# Columbia plot (MFA)

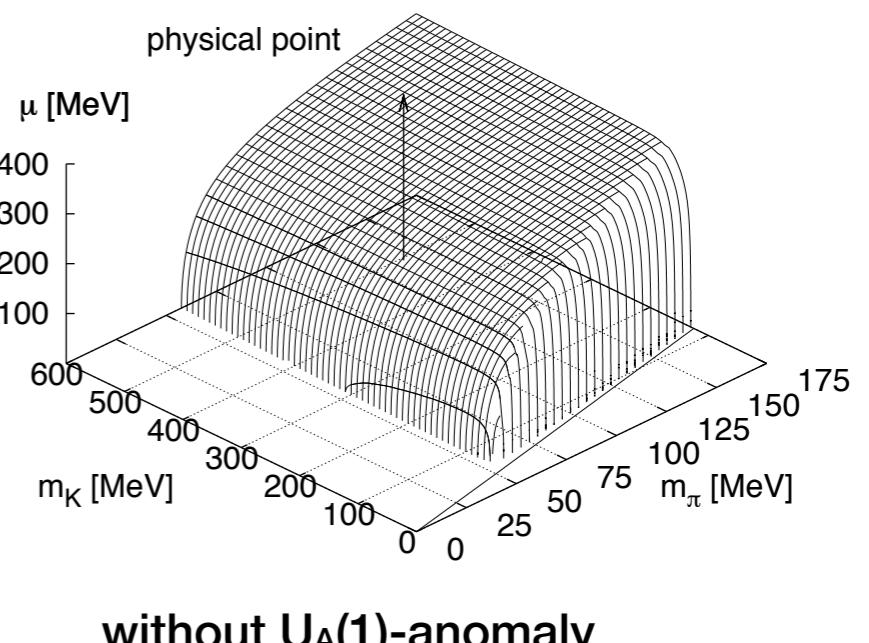
chiral critical surface  
standard scenario



chiral critical surface  
non-standard scenario



Nf=2+1 quark-meson model  
Mean-field approximation

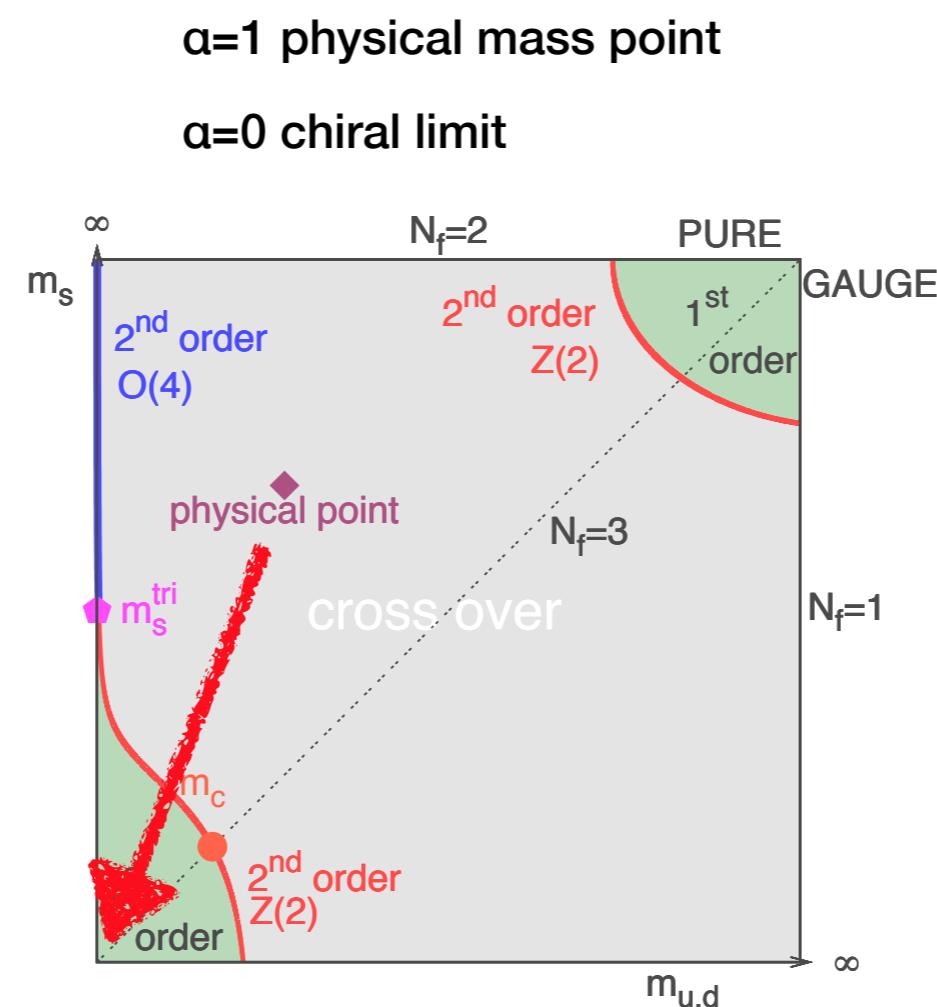


# Columbia plot (FRG)

$N_f=2+1$  FRG QM truncation:

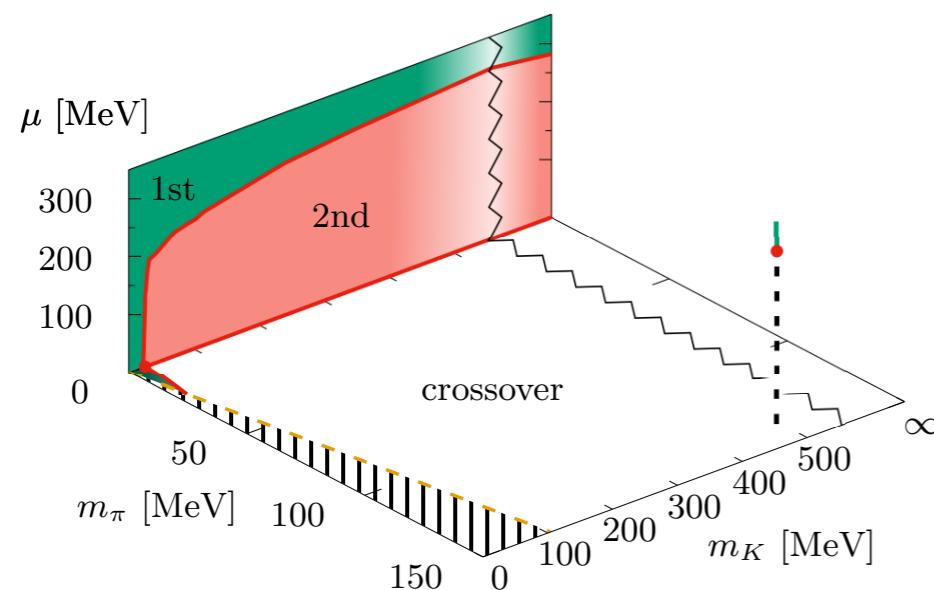
initial action in the UV: 7 parameters, (4 couplings, 2 explicit symmetry breaking, 1 't Hooft determinant)  
axial  $U_A(1)$  symmetry: on or off

How to fix initial action in the UV away from the physical mass point?



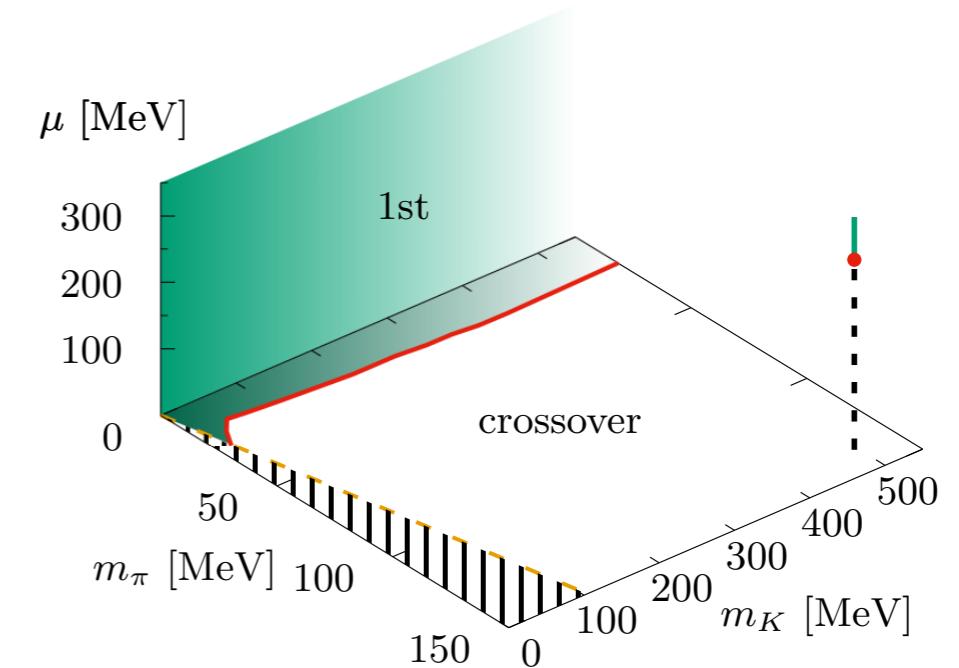
# Columbia plot (FRG)

FRG



with  $U_A(1)$ -anomaly

all fluctuations  
quarks & mesons

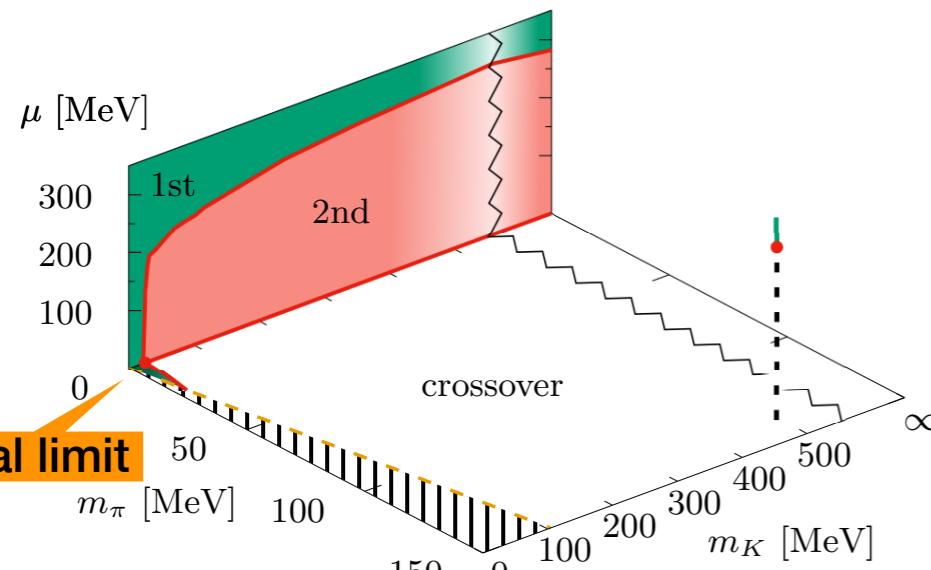


without  $U_A(1)$ -anomaly

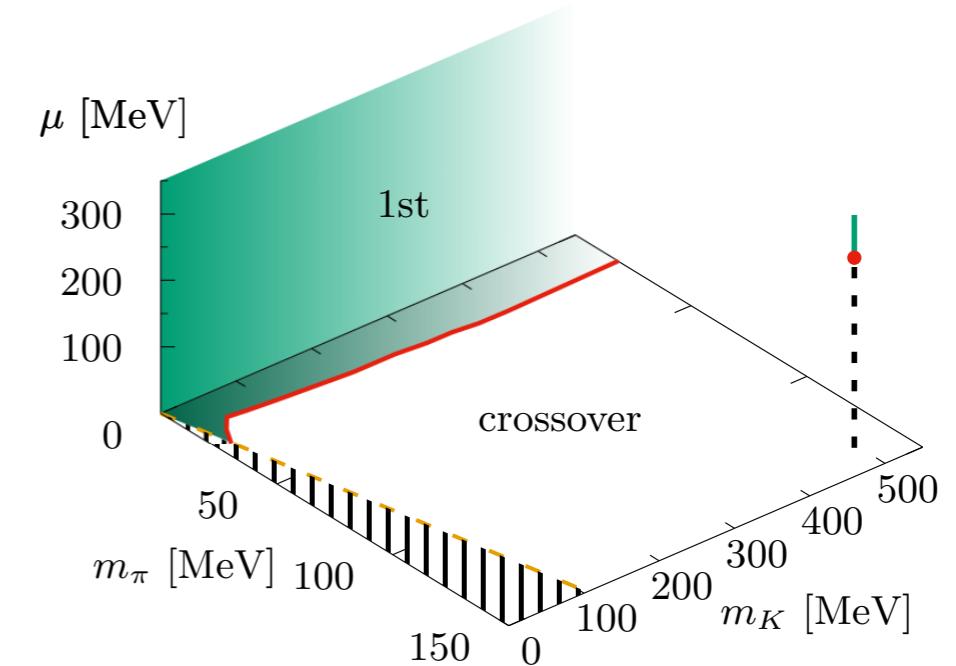
[Resch, Rennecke, BJS 2019]

# Columbia plot (FRG)

FRG



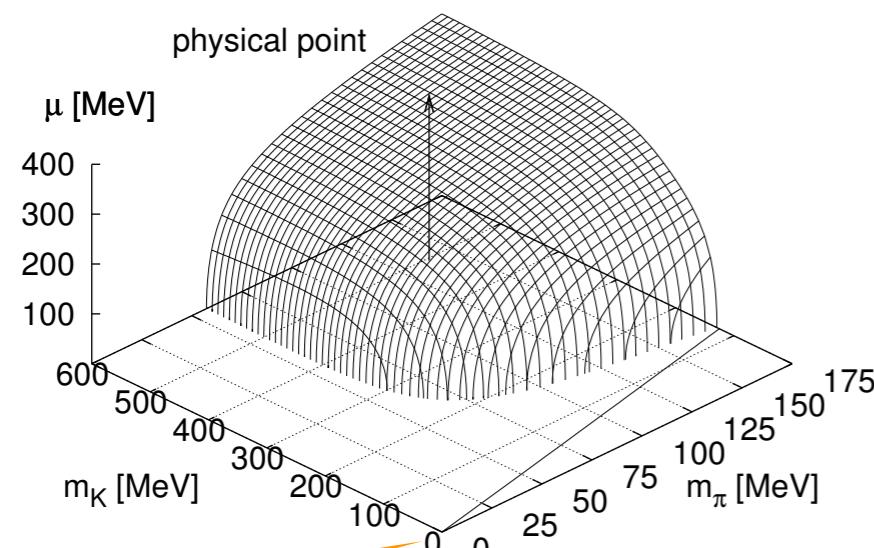
all fluctuations  
quarks & mesons



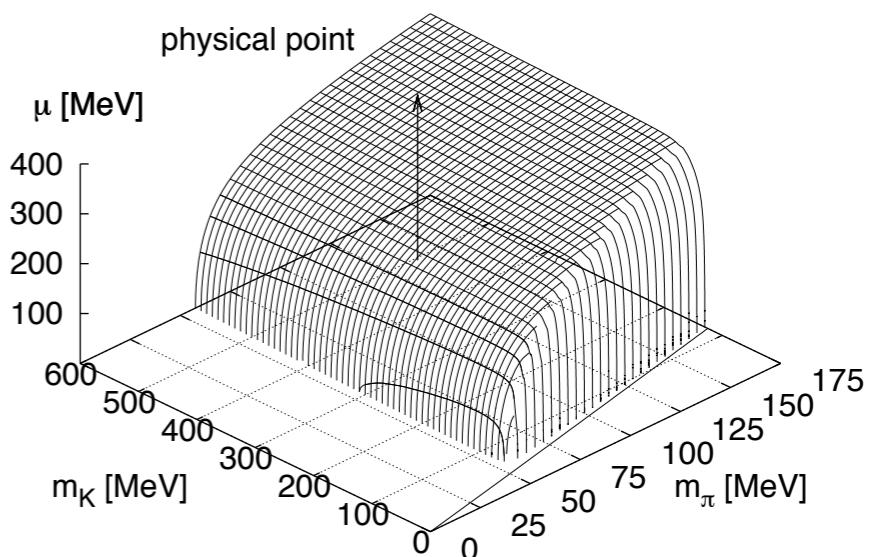
with  $U_A(1)$ -anomaly

without  $U_A(1)$ -anomaly

Mean-field analysis



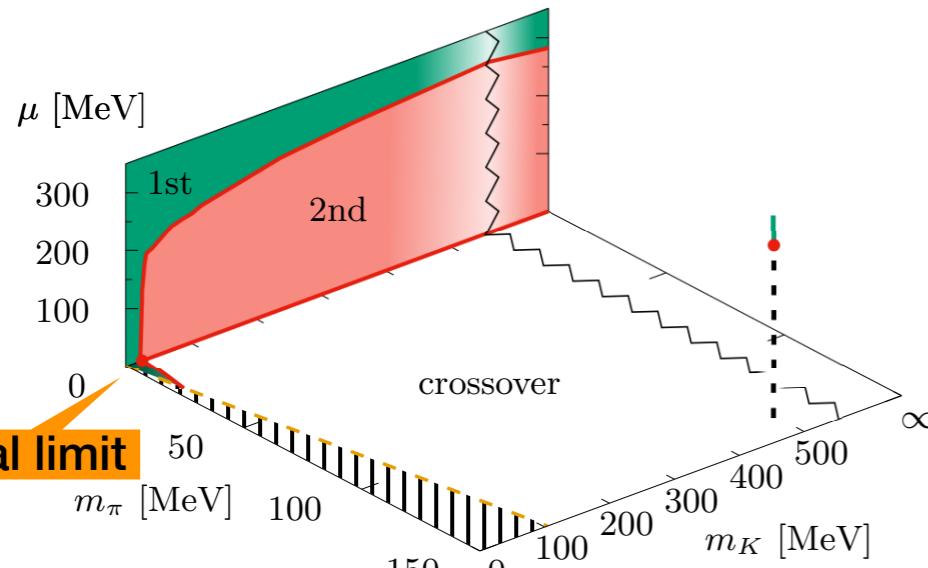
chiral limit



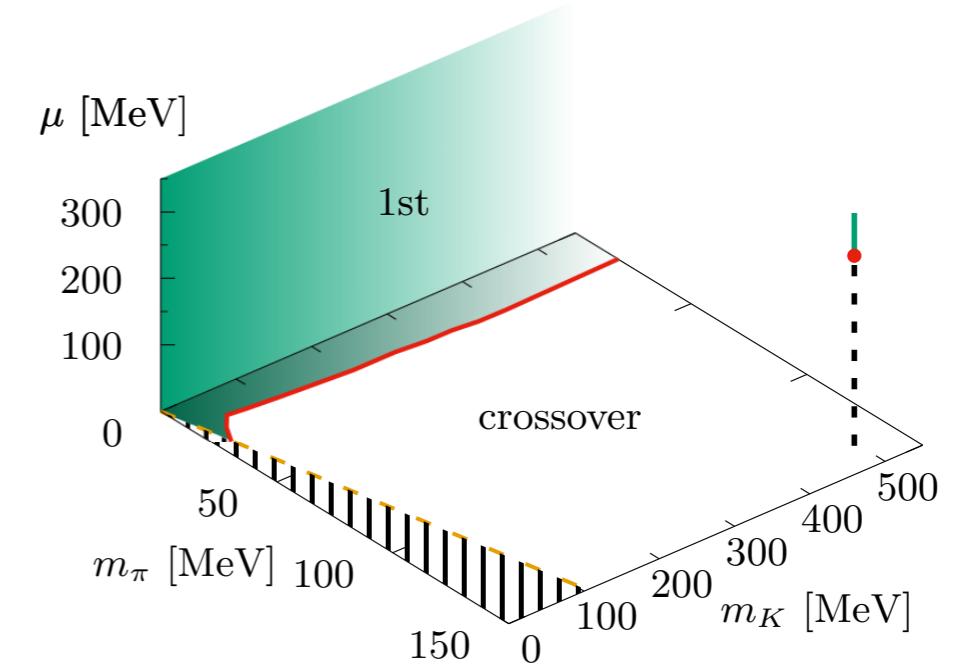
[Resch, Rennecke, BJS 2019]

# Columbia plot (FRG)

FRG



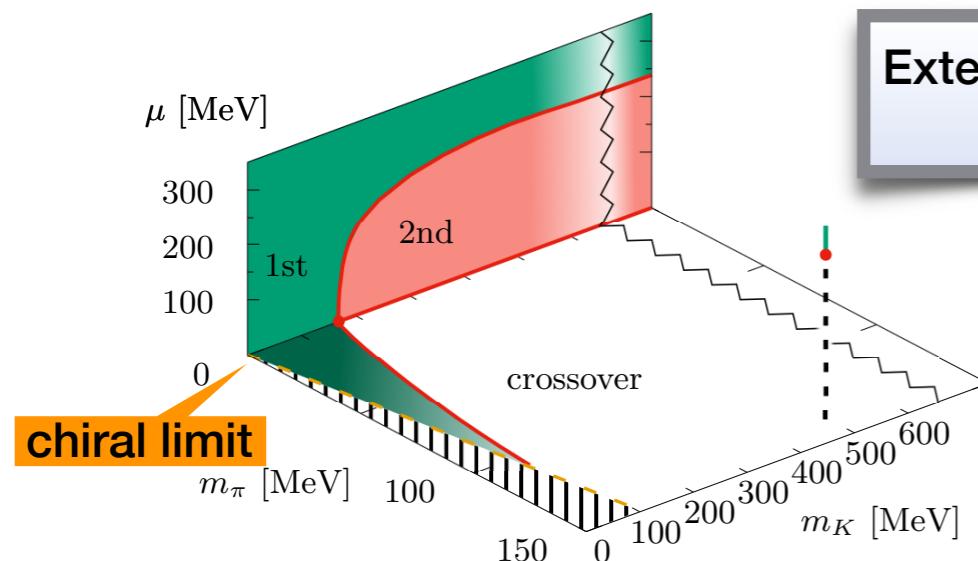
all fluctuations  
quarks & mesons



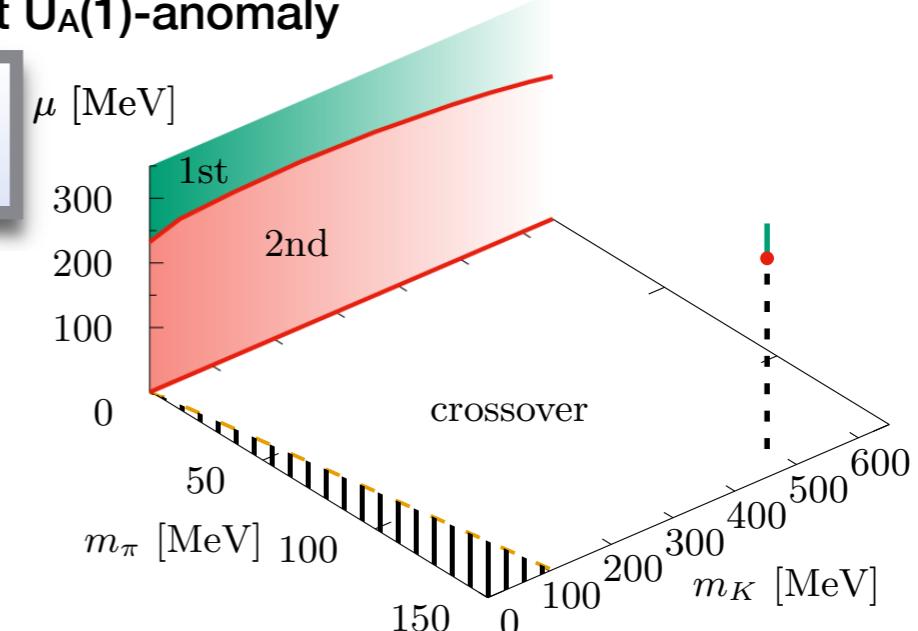
with  $U_A(1)$ -anomaly

without  $U_A(1)$ -anomaly

Extended Mean-field analysis

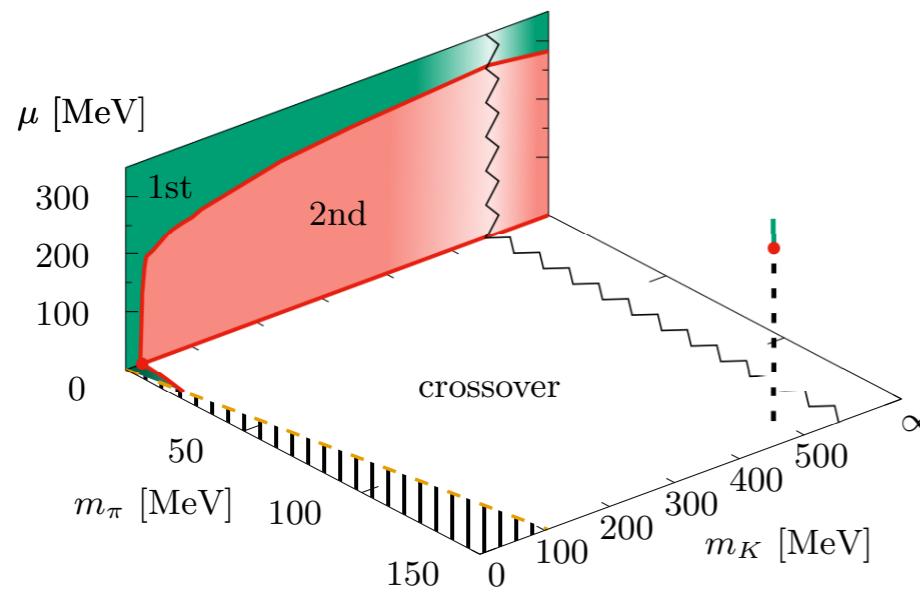
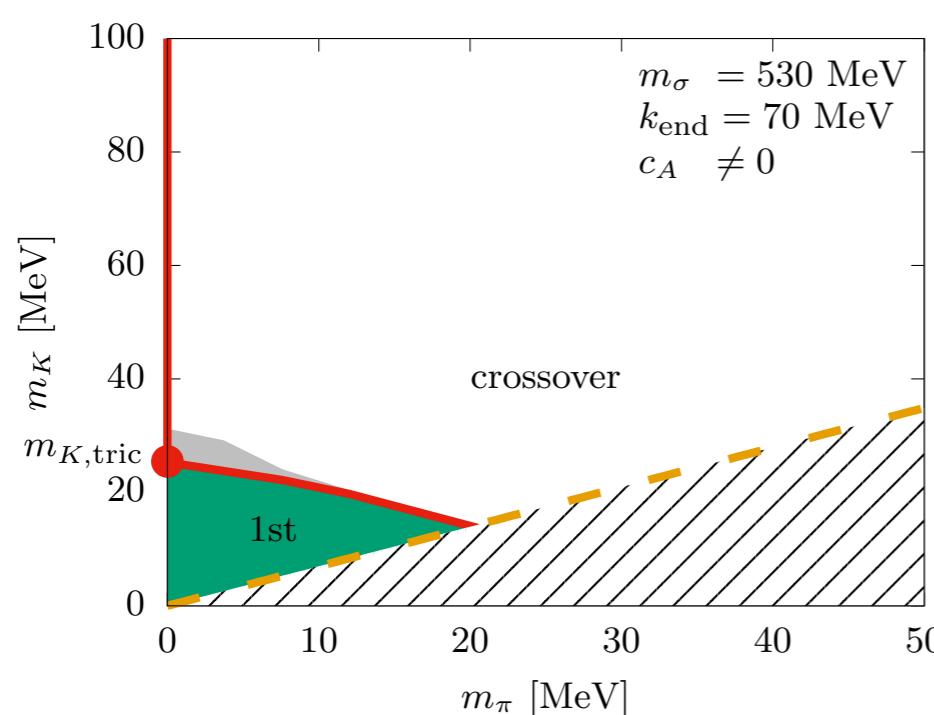


influence of vacuum  
fluctuations of quarks



[Resch, Rennecke, BJS 2019]

# Columbia plot with the FRG



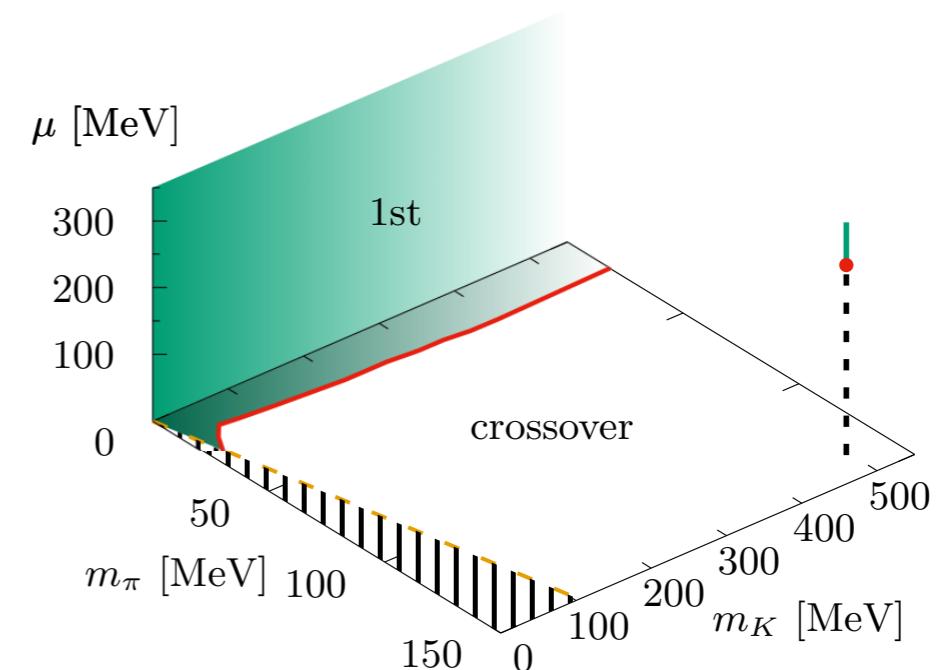
with  $U_A(1)$ -anomaly

findings:

1. conventional bending of chiral critical surface  
→ critical endpoint @ physical mass point
2. tricritical strange quark mass far away from light chiral limit
3. First-order region around chiral limit very small  
→ in agreement with lattice  
[Enrődi, et al. 2007, de Forcrane et al. 2017]
4. Nf=2+1 → Nf=2 analytically connected two-flavor chiral limit

influence of axial anomaly on chiral critical line

FRG  
all fluctuations  
quarks & mesons



without  $U_A(1)$ -anomaly

[Resch, Rennecke, BJS 2019]